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Contents

Preface	xxix
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Part I The Java Language

1 The History and Evolution of Java	3
Java's Lineage	3
The Birth of Modern Programming: C	4
C++: The Next Step	5
The Stage Is Set for Java	6
The Creation of Java	6
The C# Connection	8
How Java Changed the Internet	8
Java Applets	8
Security	9
Portability	9
Java's Magic: The Bytecode	9
Servlets: Java on the Server Side	10
The Java Buzzwords	10
Simple	11
Object-Oriented	11
Robust	11
Multithreaded	12
Architecture-Neutral	12
Interpreted and High Performance	12
Distributed	12
Dynamic	13
The Evolution of Java	13
Java SE 6	14
A Culture of Innovation	14
2 An Overview of Java	15
Object-Oriented Programming	15
Two Paradigms	15
Abstraction	16
The Three OOP Principles	16
A First Simple Program	21
Entering the Program	21
Compiling the Program	22
A Closer Look at the First Sample Program	22

A Second Short Program	24
Two Control Statements	26
The if Statement	26
The for Loop	27
Using Blocks of Code	29
Lexical Issues	30
Whitespace	30
Identifiers	30
Literals	31
Comments	31
Separators	31
The Java Keywords	31
The Java Class Libraries	32
3 Data Types, Variables, and Arrays	33
Java Is a Strongly Typed Language	33
The Primitive Types	33
Integers	34
byte	35
short	35
int	35
long	35
Floating-Point Types	36
float	36
double	36
Characters	37
Booleans	38
A Closer Look at Literals	39
Integer Literals	39
Floating-Point Literals	40
Boolean Literals	40
Character Literals	40
String Literals	40
Variables	41
Declaring a Variable	41
Dynamic Initialization	42
The Scope and Lifetime of Variables	42
Type Conversion and Casting	45
Java's Automatic Conversions	45
Casting Incompatible Types	45
Automatic Type Promotion in Expressions	47
The Type Promotion Rules	47
Arrays	48
One-Dimensional Arrays	48
Multidimensional Arrays	51
Alternative Array Declaration Syntax	55

A Few Words About Strings	55
A Note to C/C++ Programmers About Pointers	56
4 Operators	57
Arithmetic Operators	57
The Basic Arithmetic Operators	58
The Modulus Operator	59
Arithmetic Compound Assignment Operators	59
Increment and Decrement	60
The Bitwise Operators	62
The Bitwise Logical Operators	63
The Left Shift	65
The Right Shift	66
The Unsigned Right Shift	68
Bitwise Operator Compound Assignments	69
Relational Operators	70
Boolean Logical Operators	71
Short-Circuit Logical Operators	72
The Assignment Operator	73
The ? Operator	73
Operator Precedence	74
Using Parentheses	74
5 Control Statements	77
Java's Selection Statements	77
if	77
switch	80
Iteration Statements	84
while	84
do-while	86
for	88
The For-Each Version of the for Loop	92
Nested Loops	97
Jump Statements	98
Using break	98
Using continue	102
return	103
6 Introducing Classes	105
Class Fundamentals	105
The General Form of a Class	105
A Simple Class	106
Declaring Objects	109
A Closer Look at new	109
Assigning Object Reference Variables	111
Introducing Methods	111
Adding a Method to the Box Class	112

The History and Evolution of Java

To fully understand Java, one must understand the reasons behind its creation, the forces that shaped it, and the legacy that it inherits. Like the successful computer languages that came before, Java is a blend of the best elements of its rich heritage combined with the innovative concepts required by its unique mission. While the remaining chapters of this book describe the practical aspects of Java—including its syntax, key libraries, and applications—this chapter explains how and why Java came about, what makes it so important, and how it has evolved over the years.

Although Java has become inseparably linked with the online environment of the Internet, it is important to remember that Java is first and foremost a programming language. Computer language innovation and development occurs for two fundamental reasons:

- To adapt to changing environments and uses
- To implement refinements and improvements in the art of programming

As you will see, the development of Java was driven by both elements in nearly equal measure.

Java's Lineage

Java is related to C++, which is a direct descendant of C. Much of the character of Java is inherited from these two languages. From C, Java derives its syntax. Many of Java's object-oriented features were influenced by C++. In fact, several of Java's defining characteristics come from—or are responses to—its predecessors. Moreover, the creation of Java was deeply rooted in the process of refinement and adaptation that has been occurring in computer programming languages for the past several decades. For these reasons, this section reviews the sequence of events and forces that led to Java. As you will see, each innovation in language design was driven by the need to solve a fundamental problem that the preceding languages could not solve. Java is no exception.

The Birth of Modern Programming: C

The C language shook the computer world. Its impact should not be underestimated, because it fundamentally changed the way programming was approached and thought about. The creation of C was a direct result of the need for a structured, efficient, high-level language that could replace assembly code when creating systems programs. As you probably know, when a computer language is designed, trade-offs are often made, such as the following:

- Ease-of-use versus power
- Safety versus efficiency
- Rigidity versus extensibility

Prior to C, programmers usually had to choose between languages that optimized one set of traits or the other. For example, although FORTRAN could be used to write fairly efficient programs for scientific applications, it was not very good for system code. And while BASIC was easy to learn, it wasn't very powerful, and its lack of structure made its usefulness questionable for large programs. Assembly language can be used to produce highly efficient programs, but it is not easy to learn or use effectively. Further, debugging assembly code can be quite difficult.

Another compounding problem was that early computer languages such as BASIC, COBOL, and FORTRAN were not designed around structured principles. Instead, they relied upon the GOTO as a primary means of program control. As a result, programs written using these languages tended to produce “spaghetti code”—a mass of tangled jumps and conditional branches that make a program virtually impossible to understand. While languages like Pascal are structured, they were not designed for efficiency, and failed to include certain features necessary to make them applicable to a wide range of programs. (Specifically, given the standard dialects of Pascal available at the time, it was not practical to consider using Pascal for systems-level code.)

So, just prior to the invention of C, no one language had reconciled the conflicting attributes that had dogged earlier efforts. Yet the need for such a language was pressing. By the early 1970s, the computer revolution was beginning to take hold, and the demand for software was rapidly outpacing programmers' ability to produce it. A great deal of effort was being expended in academic circles in an attempt to create a better computer language. But, and perhaps most importantly, a secondary force was beginning to be felt. Computer hardware was finally becoming common enough that a critical mass was being reached. No longer were computers kept behind locked doors. For the first time, programmers were gaining virtually unlimited access to their machines. This allowed the freedom to experiment. It also allowed programmers to begin to create their own tools. On the eve of C's creation, the stage was set for a quantum leap forward in computer languages.

Invented and first implemented by Dennis Ritchie on a DEC PDP-11 running the UNIX operating system, C was the result of a development process that started with an older language called BCPL, developed by Martin Richards. BCPL influenced a language called B, invented by Ken Thompson, which led to the development of C in the 1970s. For many years, the de facto standard for C was the one supplied with the UNIX operating system and described in *The C Programming Language* by Brian Kernighan and Dennis Ritchie (Prentice-Hall, 1978). C was formally standardized in December 1989, when the American National Standards Institute (ANSI) standard for C was adopted.

The creation of C is considered by many to have marked the beginning of the modern age of computer languages. It successfully synthesized the conflicting attributes that had so troubled earlier languages. The result was a powerful, efficient, structured language that was relatively easy to learn. It also included one other, nearly intangible aspect: it was a *programmer's* language. Prior to the invention of C, computer languages were generally designed either as academic exercises or by bureaucratic committees. C is different. It was designed, implemented, and developed by real, working programmers, reflecting the way that they approached the job of programming. Its features were honed, tested, thought about, and rethought by the people who actually used the language. The result was a language that programmers liked to use. Indeed, C quickly attracted many followers who had a near-religious zeal for it. As such, it found wide and rapid acceptance in the programmer community. In short, C is a language designed by and for programmers. As you will see, Java inherited this legacy.

C++: The Next Step

During the late 1970s and early 1980s, C became the dominant computer programming language, and it is still widely used today. Since C is a successful and useful language, you might ask why a need for something else existed. The answer is *complexity*. Throughout the history of programming, the increasing complexity of programs has driven the need for better ways to manage that complexity. C++ is a response to that need. To better understand why managing program complexity is fundamental to the creation of C++, consider the following.

Approaches to programming have changed dramatically since the invention of the computer. For example, when computers were first invented, programming was done by manually toggling in the binary machine instructions by use of the front panel. As long as programs were just a few hundred instructions long, this approach worked. As programs grew, assembly language was invented so that a programmer could deal with larger, increasingly complex programs by using symbolic representations of the machine instructions. As programs continued to grow, high-level languages were introduced that gave the programmer more tools with which to handle complexity.

The first widespread language was, of course, FORTRAN. While FORTRAN was an impressive first step, it is hardly a language that encourages clear and easy-to-understand programs. The 1960s gave birth to *structured programming*. This is the method of programming championed by languages such as C. The use of structured languages enabled programmers to write, for the first time, moderately complex programs fairly easily. However, even with structured programming methods, once a project reaches a certain size, its complexity exceeds what a programmer can manage. By the early 1980s, many projects were pushing the structured approach past its limits. To solve this problem, a new way to program was invented, called *object-oriented programming (OOP)*. Object-oriented programming is discussed in detail later in this book, but here is a brief definition: OOP is a programming methodology that helps organize complex programs through the use of inheritance, encapsulation, and polymorphism.

In the final analysis, although C is one of the world's great programming languages, there is a limit to its ability to handle complexity. Once the size of a program exceeds a certain point, it becomes so complex that it is difficult to grasp as a totality. While the precise size at which this occurs differs, depending upon both the nature of the program and the programmer, there is always a threshold at which a program becomes unmanageable. C++ added features that enabled this threshold to be broken, allowing programmers to comprehend and manage larger programs.

C++ was invented by Bjarne Stroustrup in 1979, while he was working at Bell Laboratories in Murray Hill, New Jersey. Stroustrup initially called the new language “C with Classes.” However, in 1983, the name was changed to C++. C++ extends C by adding object-oriented features. Because C++ is built on the foundation of C, it includes all of C’s features, attributes, and benefits. This is a crucial reason for the success of C++ as a language. The invention of C++ was not an attempt to create a completely new programming language. Instead, it was an enhancement to an already highly successful one.

The Stage Is Set for Java

By the end of the 1980s and the early 1990s, object-oriented programming using C++ took hold. Indeed, for a brief moment it seemed as if programmers had finally found the perfect language. Because C++ blended the high efficiency and stylistic elements of C with the object-oriented paradigm, it was a language that could be used to create a wide range of programs. However, just as in the past, forces were brewing that would, once again, drive computer language evolution forward. Within a few years, the World Wide Web and the Internet would reach critical mass. This event would precipitate another revolution in programming.

The Creation of Java

Java was conceived by James Gosling, Patrick Naughton, Chris Warth, Ed Frank, and Mike Sheridan at Sun Microsystems, Inc. in 1991. It took 18 months to develop the first working version. This language was initially called “Oak,” but was renamed “Java” in 1995. Between the initial implementation of Oak in the fall of 1992 and the public announcement of Java in the spring of 1995, many more people contributed to the design and evolution of the language. Bill Joy, Arthur van Hoff, Jonathan Payne, Frank Yellin, and Tim Lindholm were key contributors to the maturing of the original prototype.

Somewhat surprisingly, the original impetus for Java was not the Internet! Instead, the primary motivation was the need for a platform-independent (that is, architecture-neutral) language that could be used to create software to be embedded in various consumer electronic devices, such as microwave ovens and remote controls. As you can probably guess, many different types of CPUs are used as controllers. The trouble with C and C++ (and most other languages) is that they are designed to be compiled for a specific target. Although it is possible to compile a C++ program for just about any type of CPU, to do so requires a full C++ compiler targeted for that CPU. The problem is that compilers are expensive and time-consuming to create. An easier—and more cost-efficient—solution was needed. In an attempt to find such a solution, Gosling and others began work on a portable, platform-independent language that could be used to produce code that would run on a variety of CPUs under differing environments. This effort ultimately led to the creation of Java.

About the time that the details of Java were being worked out, a second, and ultimately more important, factor was emerging that would play a crucial role in the future of Java. This second force was, of course, the World Wide Web. Had the Web not taken shape at about the same time that Java was being implemented, Java might have remained a useful but obscure language for programming consumer electronics. However, with the emergence of the World Wide Web, Java was propelled to the forefront of computer language design, because the Web, too, demanded portable programs.

Most programmers learn early in their careers that portable programs are as elusive as they are desirable. While the quest for a way to create efficient, portable (platform-independent) programs is nearly as old as the discipline of programming itself, it had taken a back seat to other, more pressing problems. Further, because (at that time) much of the computer world had divided itself into the three competing camps of Intel, Macintosh, and UNIX, most programmers stayed within their fortified boundaries, and the urgent need for portable code was reduced. However, with the advent of the Internet and the Web, the old problem of portability returned with a vengeance. After all, the Internet consists of a diverse, distributed universe populated with various types of computers, operating systems, and CPUs. Even though many kinds of platforms are attached to the Internet, users would like them all to be able to run the same program. What was once an irritating but low-priority problem had become a high-profile necessity.

By 1993, it became obvious to members of the Java design team that the problems of portability frequently encountered when creating code for embedded controllers are also found when attempting to create code for the Internet. In fact, the same problem that Java was initially designed to solve on a small scale could also be applied to the Internet on a large scale. This realization caused the focus of Java to switch from consumer electronics to Internet programming. So, while the desire for an architecture-neutral programming language provided the initial spark, the Internet ultimately led to Java's large-scale success.

As mentioned earlier, Java derives much of its character from C and C++. This is by intent. The Java designers knew that using the familiar syntax of C and echoing the object-oriented features of C++ would make their language appealing to the legions of experienced C/C++ programmers. In addition to the surface similarities, Java shares some of the other attributes that helped make C and C++ successful. First, Java was designed, tested, and refined by real, working programmers. It is a language grounded in the needs and experiences of the people who devised it. Thus, Java is a programmer's language. Second, Java is cohesive and logically consistent. Third, except for those constraints imposed by the Internet environment, Java gives you, the programmer, full control. If you program well, your programs reflect it. If you program poorly, your programs reflect that, too. Put differently, Java is not a language with training wheels. It is a language for professional programmers.

Because of the similarities between Java and C++, it is tempting to think of Java as simply the "Internet version of C++." However, to do so would be a large mistake. Java has significant practical and philosophical differences. While it is true that Java was influenced by C++, it is not an enhanced version of C++. For example, Java is neither upwardly nor downwardly compatible with C++. Of course, the similarities with C++ are significant, and if you are a C++ programmer, then you will feel right at home with Java. One other point: Java was not designed to replace C++. Java was designed to solve a certain set of problems. C++ was designed to solve a different set of problems. Both will coexist for many years to come.

As mentioned at the start of this chapter, computer languages evolve for two reasons: to adapt to changes in environment and to implement advances in the art of programming. The environmental change that prompted Java was the need for platform-independent programs destined for distribution on the Internet. However, Java also embodies changes in the way that people approach the writing of programs. For example, Java enhanced and refined the object-oriented paradigm used by C++, added integrated support for multithreading, and provided a library that simplified Internet access. In the final analysis, though, it was not the individual features of Java that made it so remarkable. Rather, it was

the language as a whole. Java was the perfect response to the demands of the then newly emerging, highly distributed computing universe. Java was to Internet programming what C was to system programming: a revolutionary force that changed the world.

The C# Connection

The reach and power of Java continues to be felt in the world of computer language development. Many of its innovative features, constructs, and concepts have become part of the baseline for any new language. The success of Java is simply too important to ignore.

Perhaps the most important example of Java's influence is C#. Created by Microsoft to support the .NET Framework, C# is closely related to Java. For example, both share the same general syntax, support distributed programming, and utilize the same object model. There are, of course, differences between Java and C#, but the overall "look and feel" of these languages is very similar. This "cross-pollination" from Java to C# is the strongest testimonial to date that Java redefined the way we think about and use a computer language.

How Java Changed the Internet

The Internet helped catapult Java to the forefront of programming, and Java, in turn, had a profound effect on the Internet. In addition to simplifying web programming in general, Java innovated a new type of networked program called the applet that changed the way the online world thought about content. Java also addressed some of the thorniest issues associated with the Internet: portability and security. Let's look more closely at each of these.

Java Applets

An *applet* is a special kind of Java program that is designed to be transmitted over the Internet and automatically executed by a Java-compatible web browser. Furthermore, an applet is downloaded on demand, without further interaction with the user. If the user clicks a link that contains an applet, the applet will be automatically downloaded and run in the browser. Applets are intended to be small programs. They are typically used to display data provided by the server, handle user input, or provide simple functions, such as a loan calculator, that execute locally, rather than on the server. In essence, the applet allows some functionality to be moved from the server to the client.

The creation of the applet changed Internet programming because it expanded the universe of objects that can move about freely in cyberspace. In general, there are two very broad categories of objects that are transmitted between the server and the client: passive information and dynamic, active programs. For example, when you read your e-mail, you are viewing passive data. Even when you download a program, the program's code is still only passive data until you execute it. By contrast, the applet is a dynamic, self-executing program. Such a program is an active agent on the client computer, yet it is initiated by the server.

As desirable as dynamic, networked programs are, they also present serious problems in the areas of security and portability. Obviously, a program that downloads and executes automatically on the client computer must be prevented from doing harm. It must also be able to run in a variety of different environments and under different operating systems. As you will see, Java solved these problems in an effective and elegant way. Let's look a bit more closely at each.

Security

As you are likely aware, every time you download a “normal” program, you are taking a risk, because the code you are downloading might contain a virus, Trojan horse, or other harmful code. At the core of the problem is the fact that malicious code can cause its damage because it has gained unauthorized access to system resources. For example, a virus program might gather private information, such as credit card numbers, bank account balances, and passwords, by searching the contents of your computer’s local file system. In order for Java to enable applets to be downloaded and executed on the client computer safely, it was necessary to prevent an applet from launching such an attack.

Java achieved this protection by confining an applet to the Java execution environment and not allowing it access to other parts of the computer. (You will see how this is accomplished shortly.) The ability to download applets with confidence that no harm will be done and that no security will be breached is considered by many to be the single most innovative aspect of Java.

Portability

Portability is a major aspect of the Internet because there are many different types of computers and operating systems connected to it. If a Java program were to be run on virtually any computer connected to the Internet, there needed to be some way to enable that program to execute on different systems. For example, in the case of an applet, the same applet must be able to be downloaded and executed by the wide variety of CPUs, operating systems, and browsers connected to the Internet. It is not practical to have different versions of the applet for different computers. The *same* code must work on *all* computers. Therefore, some means of generating portable executable code was needed. As you will soon see, the same mechanism that helps ensure security also helps create portability.

Java’s Magic: The Bytecode

The key that allows Java to solve both the security and the portability problems just described is that the output of a Java compiler is not executable code. Rather, it is bytecode. *Bytecode* is a highly optimized set of instructions designed to be executed by the Java run-time system, which is called the *Java Virtual Machine (JVM)*. In essence, the original JVM was designed as an *interpreter for bytecode*. This may come as a bit of a surprise since many modern languages are designed to be compiled into executable code because of performance concerns. However, the fact that a Java program is executed by the JVM helps solve the major problems associated with web-based programs. Here is why.

Translating a Java program into bytecode makes it much easier to run a program in a wide variety of environments because only the JVM needs to be implemented for each platform. Once the run-time package exists for a given system, any Java program can run on it. Remember, although the details of the JVM will differ from platform to platform, all understand the same Java bytecode. If a Java program were compiled to native code, then different versions of the same program would have to exist for each type of CPU connected to the Internet. This is, of course, not a feasible solution. Thus, the execution of bytecode by the JVM is the easiest way to create truly portable programs.

The fact that a Java program is executed by the JVM also helps to make it secure. Because the JVM is in control, it can contain the program and prevent it from generating

side effects outside of the system. As you will see, safety is also enhanced by certain restrictions that exist in the Java language.

In general, when a program is compiled to an intermediate form and then interpreted by a virtual machine, it runs slower than it would run if compiled to executable code. However, with Java, the differential between the two is not so great. Because bytecode has been highly optimized, the use of bytecode enables the JVM to execute programs much faster than you might expect.

Although Java was designed as an interpreted language, there is nothing about Java that prevents on-the-fly compilation of bytecode into native code in order to boost performance. For this reason, Sun began supplying its HotSpot technology not long after Java's initial release. HotSpot provides a Just-In-Time (JIT) compiler for bytecode. When a JIT compiler is part of the JVM, selected portions of bytecode are compiled into executable code in real time, on a piece-by-piece, demand basis. It is important to understand that it is not practical to compile an entire Java program into executable code all at once, because Java performs various run-time checks that can be done only at run time. Instead, a JIT compiler compiles code as it is needed, during execution. Furthermore, not all sequences of bytecode are compiled—only those that will benefit from compilation. The remaining code is simply interpreted. However, the just-in-time approach still yields a significant performance boost. Even when dynamic compilation is applied to bytecode, the portability and safety features still apply, because the JVM is still in charge of the execution environment.

Servlets: Java on the Server Side

As useful as applets can be, they are just one half of the client/server equation. Not long after the initial release of Java, it became obvious that Java would also be useful on the server side. The result was the *servlet*. A servlet is a small program that executes on the server. Just as applets dynamically extend the functionality of a web browser, servlets dynamically extend the functionality of a web server. Thus, with the advent of the servlet, Java spanned both sides of the client/server connection.

Servlets are used to create dynamically generated content that is then served to the client. For example, an online store might use a servlet to look up the price for an item in a database. The price information is then used to dynamically generate a web page that is sent to the browser. Although dynamically generated content is available through mechanisms such as CGI (Common Gateway Interface), the servlet offers several advantages, including increased performance.

Because servlets (like all Java programs) are compiled into bytecode and executed by the JVM, they are highly portable. Thus, the same servlet can be used in a variety of different server environments. The only requirements are that the server support the JVM and a servlet container.

The Java Buzzwords

No discussion of Java's history is complete without a look at the Java buzzwords. Although the fundamental forces that necessitated the invention of Java are portability and security, other factors also played an important role in molding the final form of the language. The key considerations were summed up by the Java team in the following list of buzzwords:

- Simple
- Secure
- Portable
- Object-oriented
- Robust
- Multithreaded
- Architecture-neutral
- Interpreted
- High performance
- Distributed
- Dynamic

Two of these buzzwords have already been discussed: secure and portable. Let's examine what each of the others implies.

Simple

Java was designed to be easy for the professional programmer to learn and use effectively. Assuming that you have some programming experience, you will not find Java hard to master. If you already understand the basic concepts of object-oriented programming, learning Java will be even easier. Best of all, if you are an experienced C++ programmer, moving to Java will require very little effort. Because Java inherits the C/C++ syntax and many of the object-oriented features of C++, most programmers have little trouble learning Java.

Object-Oriented

Although influenced by its predecessors, Java was not designed to be source-code compatible with any other language. This allowed the Java team the freedom to design with a blank slate. One outcome of this was a clean, usable, pragmatic approach to objects. Borrowing liberally from many seminal object-software environments of the last few decades, Java manages to strike a balance between the purist's "everything is an object" paradigm and the pragmatist's "stay out of my way" model. The object model in Java is simple and easy to extend, while primitive types, such as integers, are kept as high-performance nonobjects.

Robust

The multiplatformed environment of the Web places extraordinary demands on a program, because the program must execute reliably in a variety of systems. Thus, the ability to create robust programs was given a high priority in the design of Java. To gain reliability, Java restricts you in a few key areas to force you to find your mistakes early in program development. At the same time, Java frees you from having to worry about many of the most common causes of programming errors. Because Java is a strictly typed language, it checks your code at compile time. However, it also checks your code at run time. Many hard-to-track-down bugs that often turn up in hard-to-reproduce run-time situations are simply impossible to create in Java. Knowing that what you have written will behave in a predictable way under diverse conditions is a key feature of Java.

To better understand how Java is robust, consider two of the main reasons for program failure: memory management mistakes and mishandled exceptional conditions (that is, run-time errors). Memory management can be a difficult, tedious task in traditional programming environments. For example, in C/C++, the programmer must manually allocate and free all dynamic memory. This sometimes leads to problems, because programmers will either forget to free memory that has been previously allocated or, worse, try to free some memory that another part of their code is still using. Java virtually eliminates these problems by managing memory allocation and deallocation for you. (In fact, deallocation is completely automatic, because Java provides garbage collection for unused objects.) Exceptional conditions in traditional environments often arise in situations such as division by zero or “file not found,” and they must be managed with clumsy and hard-to-read constructs. Java helps in this area by providing object-oriented exception handling. In a well-written Java program, all run-time errors can—and should—be managed by your program.

Multithreaded

Java was designed to meet the real-world requirement of creating interactive, networked programs. To accomplish this, Java supports multithreaded programming, which allows you to write programs that do many things simultaneously. The Java run-time system comes with an elegant yet sophisticated solution for multiprocess synchronization that enables you to construct smoothly running interactive systems. Java’s easy-to-use approach to multithreading allows you to think about the specific behavior of your program, not the multitasking subsystem.

Architecture-Neutral

A central issue for the Java designers was that of code longevity and portability. One of the main problems facing programmers is that no guarantee exists that if you write a program today, it will run tomorrow—even on the same machine. Operating system upgrades, processor upgrades, and changes in core system resources can all combine to make a program malfunction. The Java designers made several hard decisions in the Java language and the Java Virtual Machine in an attempt to alter this situation. Their goal was “write once; run anywhere, any time, forever.” To a great extent, this goal was accomplished.

Interpreted and High Performance

As described earlier, Java enables the creation of cross-platform programs by compiling into an intermediate representation called Java bytecode. This code can be executed on any system that implements the Java Virtual Machine. Most previous attempts at cross-platform solutions have done so at the expense of performance. As explained earlier, the Java bytecode was carefully designed so that it would be easy to translate directly into native machine code for very high performance by using a just-in-time compiler. Java run-time systems that provide this feature lose none of the benefits of the platform-independent code.

Distributed

Java is designed for the distributed environment of the Internet because it handles TCP/IP protocols. In fact, accessing a resource using a URL is not much different from accessing a file. Java also supports *Remote Method Invocation (RMI)*. This feature enables a program to invoke methods across a network.

Dynamic

Java programs carry with them substantial amounts of run-time type information that is used to verify and resolve accesses to objects at run time. This makes it possible to dynamically link code in a safe and expedient manner. This is crucial to the robustness of the Java environment, in which small fragments of bytecode may be dynamically updated on a running system.

The Evolution of Java

The initial release of Java was nothing short of revolutionary, but it did not mark the end of Java's era of rapid innovation. Unlike most other software systems that usually settle into a pattern of small, incremental improvements, Java continued to evolve at an explosive pace. Soon after the release of Java 1.0, the designers of Java had already created Java 1.1. The features added by Java 1.1 were more significant and substantial than the increase in the minor revision number would have you think. Java 1.1 added many new library elements, redefined the way events are handled, and reconfigured many features of the 1.0 library. It also deprecated (rendered obsolete) several features originally defined by Java 1.0. Thus, Java 1.1 both added to and subtracted from attributes of its original specification.

The next major release of Java was Java 2, where the "2" indicates "second generation." The creation of Java 2 was a watershed event, marking the beginning of Java's "modern age." The first release of Java 2 carried the version number 1.2. It may seem odd that the first release of Java 2 used the 1.2 version number. The reason is that it originally referred to the internal version number of the Java libraries, but then was generalized to refer to the entire release. With Java 2, Sun repackaged the Java product as J2SE (Java 2 Platform Standard Edition), and the version numbers began to be applied to that product.

Java 2 added support for a number of new features, such as Swing and the Collections Framework, and it enhanced the Java Virtual Machine and various programming tools. Java 2 also contained a few deprecations. The most important affected the **Thread** class in which the methods **suspend()**, **resume()**, and **stop()** were deprecated.

J2SE 1.3 was the first major upgrade to the original Java 2 release. For the most part, it added to existing functionality and "tightened up" the development environment. In general, programs written for version 1.2 and those written for version 1.3 are source-code compatible. Although version 1.3 contained a smaller set of changes than the preceding three major releases, it was nevertheless important.

The release of J2SE 1.4 further enhanced Java. This release contained several important upgrades, enhancements, and additions. For example, it added the new keyword **assert**, chained exceptions, and a channel-based I/O subsystem. It also made changes to the Collections Framework and the networking classes. In addition, numerous small changes were made throughout. Despite the significant number of new features, version 1.4 maintained nearly 100 percent source-code compatibility with prior versions.

The next release of Java was J2SE 5, and it was revolutionary. Unlike most of the previous Java upgrades, which offered important, but measured improvements, J2SE 5 fundamentally expanded the scope, power, and range of the language. To grasp the magnitude of the changes that J2SE 5 made to Java, consider the following list of its major new features:

- Generics
- Annotations
- Autoboxing and auto-unboxing

- Enumerations
- Enhanced, for-each style **for** loop
- Variable-length arguments (varargs)
- Static import
- Formatted I/O
- Concurrency utilities

This is not a list of minor tweaks or incremental upgrades. Each item in the list represents a significant addition to the Java language. Some, such as generics, the enhanced **for**, and varargs, introduce new syntax elements. Others, such as autoboxing and auto-unboxing, alter the semantics of the language. Annotations add an entirely new dimension to programming. In all cases, the impact of these additions went beyond their direct effects. They changed the very character of Java itself.

The importance of these new features is reflected in the use of the version number “5.” The next version number for Java would normally have been 1.5. However, the new features were so significant that a shift from 1.4 to 1.5 just didn’t seem to express the magnitude of the change. Instead, Sun elected to increase the version number to 5 as a way of emphasizing that a major event was taking place. Thus, it was named J2SE 5, and the developer’s kit was called JDK 5. However, in order to maintain consistency, Sun decided to use 1.5 as its *internal version* number, which is also referred to as the *developer version* number. The “5” in J2SE 5 is called the *product version* number.

Java SE 6

The newest release of Java is called Java SE 6, and the material in this book has been updated to reflect this latest version of Java. With the release of Java SE 6, Sun once again decided to change the name of the Java platform. First, notice that the “2” has been dropped. Thus, the platform now has the name *Java SE*, and the official product name is *Java Platform, Standard Edition 6*. As with J2SE 5, the 6 in Java SE 6 is the product version number. The internal, developer version number is 1.6.

Java SE 6 builds on the base of J2SE 5, adding incremental improvements. Java SE 6 adds no major features to the Java language proper, but it does enhance the API libraries, add several new packages, and offer improvements to the run time. As it relates to this book, it is the changes to the core API that are the most notable. Many of the packages have new classes, and many of the classes have new methods. These changes are indicated throughout the book. In general, the release of Java SE 6 serves to further solidify the advances made by J2SE 5.

A Culture of Innovation

Since the beginning, Java has been at the center of a culture of innovation. Its original release redefined programming for the Internet. The Java Virtual Machine (JVM) and bytecode changed the way we think about security and portability. The applet (and then the servlet) made the Web come alive. The Java Community Process (JCP) redefined the way that new ideas are assimilated into the language. The world of Java has never stood still for very long. Java SE 6 is the latest release in Java’s ongoing, dynamic history.

An Overview of Java

As in all other computer languages, the elements of Java do not exist in isolation. Rather, they work together to form the language as a whole. However, this interrelatedness can make it difficult to describe one aspect of Java without involving several others. Often a discussion of one feature implies prior knowledge of another. For this reason, this chapter presents a quick overview of several key features of Java. The material described here will give you a foothold that will allow you to write and understand simple programs. Most of the topics discussed will be examined in greater detail in the remaining chapters of Part I.

Object-Oriented Programming

Object-oriented programming (OOP) is at the core of Java. In fact, all Java programs are to at least some extent object-oriented. OOP is so integral to Java that it is best to understand its basic principles before you begin writing even simple Java programs. Therefore, this chapter begins with a discussion of the theoretical aspects of OOP.

Two Paradigms

All computer programs consist of two elements: code and data. Furthermore, a program can be conceptually organized around its code or around its data. That is, some programs are written around “what is happening” and others are written around “who is being affected.” These are the two paradigms that govern how a program is constructed. The first way is called the *process-oriented model*. This approach characterizes a program as a series of linear steps (that is, code). The process-oriented model can be thought of as *code acting on data*. Procedural languages such as C employ this model to considerable success. However, as mentioned in Chapter 1, problems with this approach appear as programs grow larger and more complex.

To manage increasing complexity, the second approach, called *object-oriented programming*, was conceived. Object-oriented programming organizes a program around its data (that is, objects) and a set of well-defined interfaces to that data. An object-oriented program can be characterized as *data controlling access to code*. As you will see, by switching the controlling entity to data, you can achieve several organizational benefits.

Abstraction

An essential element of object-oriented programming is *abstraction*. Humans manage complexity through abstraction. For example, people do not think of a car as a set of tens of thousands of individual parts. They think of it as a well-defined object with its own unique behavior. This abstraction allows people to use a car to drive to the grocery store without being overwhelmed by the complexity of the parts that form the car. They can ignore the details of how the engine, transmission, and braking systems work. Instead, they are free to utilize the object as a whole.

A powerful way to manage abstraction is through the use of hierarchical classifications. This allows you to layer the semantics of complex systems, breaking them into more manageable pieces. From the outside, the car is a single object. Once inside, you see that the car consists of several subsystems: steering, brakes, sound system, seat belts, heating, cellular phone, and so on. In turn, each of these subsystems is made up of more specialized units. For instance, the sound system consists of a radio, a CD player, and/or a tape player. The point is that you manage the complexity of the car (or any other complex system) through the use of hierarchical abstractions.

Hierarchical abstractions of complex systems can also be applied to computer programs. The data from a traditional process-oriented program can be transformed by abstraction into its component objects. A sequence of process steps can become a collection of messages between these objects. Thus, each of these objects describes its own unique behavior. You can treat these objects as concrete entities that respond to messages telling them to *do something*. This is the essence of object-oriented programming.

Object-oriented concepts form the heart of Java just as they form the basis for human understanding. It is important that you understand how these concepts translate into programs. As you will see, object-oriented programming is a powerful and natural paradigm for creating programs that survive the inevitable changes accompanying the life cycle of any major software project, including conception, growth, and aging. For example, once you have well-defined objects and clean, reliable interfaces to those objects, you can gracefully decommission or replace parts of an older system without fear.

The Three OOP Principles

All object-oriented programming languages provide mechanisms that help you implement the object-oriented model. They are encapsulation, inheritance, and polymorphism. Let's take a look at these concepts now.

Encapsulation

Encapsulation is the mechanism that binds together code and the data it manipulates, and keeps both safe from outside interference and misuse. One way to think about encapsulation is as a protective wrapper that prevents the code and data from being arbitrarily accessed by other code defined outside the wrapper. Access to the code and data inside the wrapper is tightly controlled through a well-defined interface. To relate this to the real world, consider the automatic transmission on an automobile. It encapsulates hundreds of bits of information about your engine, such as how much you are accelerating, the pitch of the surface you are on, and the position of the shift lever. You, as the user, have only one method of affecting

this complex encapsulation: by moving the gear-shift lever. You can't affect the transmission by using the turn signal or windshield wipers, for example. Thus, the gear-shift lever is a well-defined (indeed, unique) interface to the transmission. Further, what occurs inside the transmission does not affect objects outside the transmission. For example, shifting gears does not turn on the headlights! Because an automatic transmission is encapsulated, dozens of car manufacturers can implement one in any way they please. However, from the driver's point of view, they all work the same. This same idea can be applied to programming. The power of encapsulated code is that everyone knows how to access it and thus can use it regardless of the implementation details—and without fear of unexpected side effects.

In Java, the basis of encapsulation is the class. Although the class will be examined in great detail later in this book, the following brief discussion will be helpful now. A *class* defines the structure and behavior (data and code) that will be shared by a set of objects. Each object of a given class contains the structure and behavior defined by the class, as if it were stamped out by a mold in the shape of the class. For this reason, objects are sometimes referred to as *instances of a class*. Thus, a class is a logical construct; an object has physical reality.

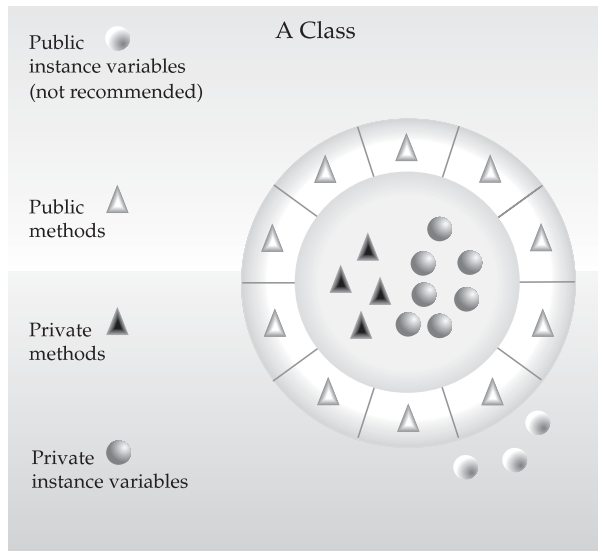
When you create a class, you will specify the code and data that constitute that class. Collectively, these elements are called *members* of the class. Specifically, the data defined by the class are referred to as *member variables* or *instance variables*. The code that operates on that data is referred to as *member methods* or just *methods*. (If you are familiar with C/C++, it may help to know that what a Java programmer calls a *method*, a C/C++ programmer calls a *function*.) In properly written Java programs, the methods define how the member variables can be used. This means that the behavior and interface of a class are defined by the methods that operate on its instance data.

Since the purpose of a class is to encapsulate complexity, there are mechanisms for hiding the complexity of the implementation inside the class. Each method or variable in a class may be marked *private* or *public*. The *public* interface of a class represents everything that external users of the class need to know, or may know. The *private* methods and data can only be accessed by code that is a member of the class. Therefore, any other code that is not a member of the class cannot access a private method or variable. Since the private members of a class may only be accessed by other parts of your program through the class' public methods, you can ensure that no improper actions take place. Of course, this means that the public interface should be carefully designed not to expose too much of the inner workings of a class (see Figure 2-1).

Inheritance

Inheritance is the process by which one object acquires the properties of another object. This is important because it supports the concept of hierarchical classification. As mentioned earlier, most knowledge is made manageable by hierarchical (that is, top-down) classifications. For example, a Golden Retriever is part of the classification *dog*, which in turn is part of the *mammal* class, which is under the larger class *animal*. Without the use of hierarchies, each object would need to define all of its characteristics explicitly. However, by use of inheritance, an object need only define those qualities that make it unique within its class. It can inherit its general attributes from its parent. Thus, it is the inheritance mechanism that makes it possible for one object to be a specific instance of a more general case. Let's take a closer look at this process.

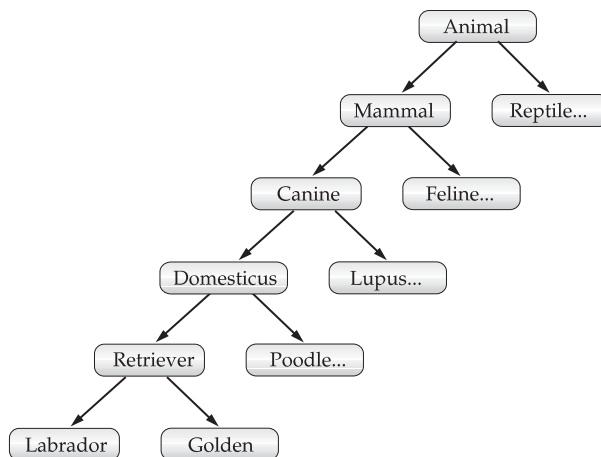
FIGURE 2-1
Encapsulation:
public methods
can be used to
protect private
data



Most people naturally view the world as made up of objects that are related to each other in a hierarchical way, such as animals, mammals, and dogs. If you wanted to describe animals in an abstract way, you would say they have some attributes, such as size, intelligence, and type of skeletal system. Animals also have certain behavioral aspects; they eat, breathe, and sleep. This description of attributes and behavior is the *class* definition for animals.

If you wanted to describe a more specific class of animals, such as mammals, they would have more specific attributes, such as type of teeth, and mammary glands. This is known as a *subclass* of animals, where animals are referred to as mammals' *superclass*.

Since mammals are simply more precisely specified animals, they *inherit* all of the attributes from animals. A deeply inherited subclass inherits all of the attributes from each of its ancestors in the *class hierarchy*.



Inheritance interacts with encapsulation as well. If a given class encapsulates some attributes, then any subclass will have the same attributes *plus* any that it adds as part of its specialization (see Figure 2-2). This is a key concept that lets object-oriented programs grow in complexity linearly rather than geometrically. A new subclass inherits all of the attributes of all of its ancestors. It does not have unpredictable interactions with the majority of the rest of the code in the system.

Polymorphism

Polymorphism (from Greek, meaning “many forms”) is a feature that allows one interface to be used for a general class of actions. The specific action is determined by the exact nature

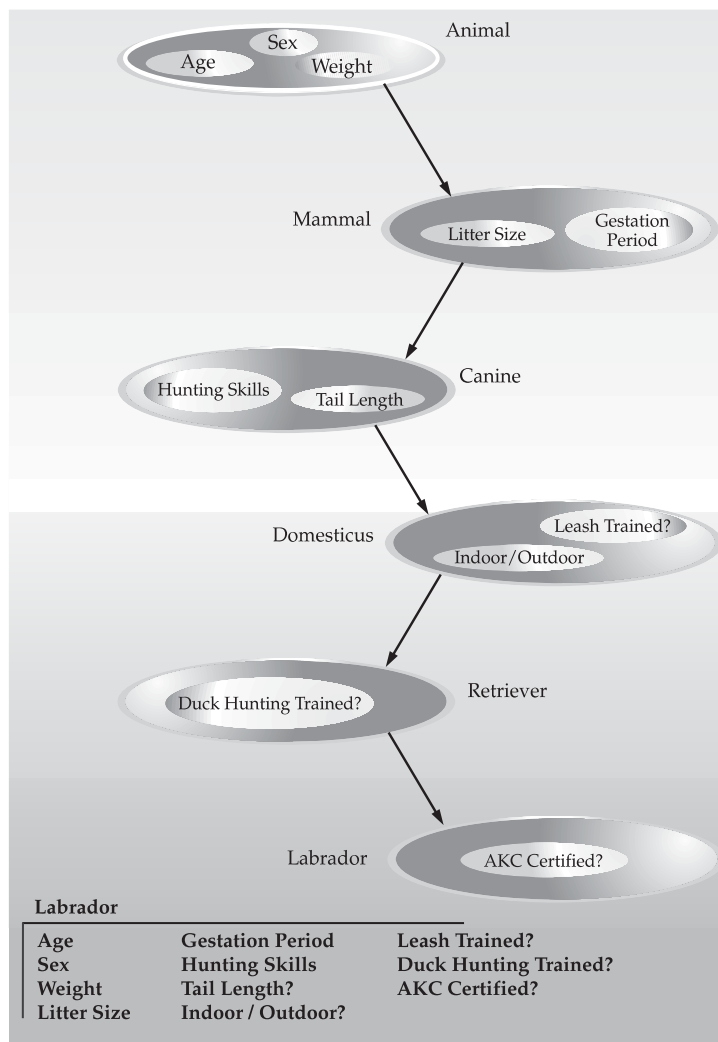


FIGURE 2-2 Labrador inherits the encapsulation of all its superclasses

of the situation. Consider a stack (which is a last-in, first-out list). You might have a program that requires three types of stacks. One stack is used for integer values, one for floating-point values, and one for characters. The algorithm that implements each stack is the same, even though the data being stored differs. In a non-object-oriented language, you would be required to create three different sets of stack routines, with each set using different names. However, because of polymorphism, in Java you can specify a general set of stack routines that all share the same names.

More generally, the concept of polymorphism is often expressed by the phrase “one interface, multiple methods.” This means that it is possible to design a generic interface to a group of related activities. This helps reduce complexity by allowing the same interface to be used to specify a *general class of action*. It is the compiler’s job to select the *specific action* (that is, method) as it applies to each situation. You, the programmer, do not need to make this selection manually. You need only remember and utilize the general interface.

Extending the dog analogy, a dog’s sense of smell is polymorphic. If the dog smells a cat, it will bark and run after it. If the dog smells its food, it will salivate and run to its bowl. The same sense of smell is at work in both situations. The difference is what is being smelled, that is, the type of data being operated upon by the dog’s nose! This same general concept can be implemented in Java as it applies to methods within a Java program.

Polymorphism, Encapsulation, and Inheritance Work Together

When properly applied, polymorphism, encapsulation, and inheritance combine to produce a programming environment that supports the development of far more robust and scalable programs than does the process-oriented model. A well-designed hierarchy of classes is the basis for reusing the code in which you have invested time and effort developing and testing. Encapsulation allows you to migrate your implementations over time without breaking the code that depends on the public interface of your classes. Polymorphism allows you to create clean, sensible, readable, and resilient code.

Of the two real-world examples, the automobile more completely illustrates the power of object-oriented design. Dogs are fun to think about from an inheritance standpoint, but cars are more like programs. All drivers rely on inheritance to drive different types (subclasses) of vehicles. Whether the vehicle is a school bus, a Mercedes sedan, a Porsche, or the family minivan, drivers can all more or less find and operate the steering wheel, the brakes, and the accelerator. After a bit of gear grinding, most people can even manage the difference between a stick shift and an automatic, because they fundamentally understand their common superclass, the transmission.

People interface with encapsulated features on cars all the time. The brake and gas pedals hide an incredible array of complexity with an interface so simple you can operate them with your feet! The implementation of the engine, the style of brakes, and the size of the tires have no effect on how you interface with the class definition of the pedals.

The final attribute, polymorphism, is clearly reflected in the ability of car manufacturers to offer a wide array of options on basically the same vehicle. For example, you can get an antilock braking system or traditional brakes, power or rack-and-pinion steering, and 4-, 6-, or 8-cylinder engines. Either way, you will still press the brake pedal to stop, turn the steering wheel to change direction, and press the accelerator when you want to move. The same interface can be used to control a number of different implementations.

As you can see, it is through the application of encapsulation, inheritance, and polymorphism that the individual parts are transformed into the object known as a car. The same is also true of computer programs. By the application of object-oriented principles, the various parts of a complex program can be brought together to form a cohesive, robust, maintainable whole.

As mentioned at the start of this section, every Java program is object-oriented. Or, put more precisely, every Java program involves encapsulation, inheritance, and polymorphism. Although the short example programs shown in the rest of this chapter and in the next few chapters may not seem to exhibit all of these features, they are nevertheless present. As you will see, many of the features supplied by Java are part of its built-in class libraries, which do make extensive use of encapsulation, inheritance, and polymorphism.

A First Simple Program

Now that the basic object-oriented underpinning of Java has been discussed, let's look at some actual Java programs. Let's start by compiling and running the short sample program shown here. As you will see, this involves a little more work than you might imagine.

```

/*
   This is a simple Java program.
   Call this file "Example.java".
*/
class Example {
    // Your program begins with a call to main().
    public static void main(String args[]) {
        System.out.println("This is a simple Java program.");
    }
}

```

NOTE *The descriptions that follow use the standard Java SE 6 Development Kit (JDK 6), which is available from Sun Microsystems. If you are using a different Java development environment, then you may need to follow a different procedure for compiling and executing Java programs. In this case, consult your compiler's documentation for details.*

Entering the Program

For most computer languages, the name of the file that holds the source code to a program is immaterial. However, this is not the case with Java. The first thing that you must learn about Java is that the name you give to a source file is very important. For this example, the name of the source file should be **Example.java**. Let's see why.

In Java, a source file is officially called a *compilation unit*. It is a text file that contains one or more class definitions. The Java compiler requires that a source file use the **.java** filename extension.

As you can see by looking at the program, the name of the class defined by the program is also **Example**. This is not a coincidence. In Java, all code must reside inside a class. By convention, the name of that class should match the name of the file that holds the program. You should also make sure that the capitalization of the filename matches the class name.

The reason for this is that Java is case-sensitive. At this point, the convention that filenames correspond to class names may seem arbitrary. However, this convention makes it easier to maintain and organize your programs.

Compiling the Program

To compile the **Example** program, execute the compiler, **javac**, specifying the name of the source file on the command line, as shown here:

```
C:\>javac Example.java
```

The **javac** compiler creates a file called **Example.class** that contains the bytecode version of the program. As discussed earlier, the Java bytecode is the intermediate representation of your program that contains instructions the Java Virtual Machine will execute. Thus, the output of **javac** is not code that can be directly executed.

To actually run the program, you must use the Java application launcher, called **java**. To do so, pass the class name **Example** as a command-line argument, as shown here:

```
C:\>java Example
```

When the program is run, the following output is displayed:

```
This is a simple Java program.
```

When Java source code is compiled, each individual class is put into its own output file named after the class and using the **.class** extension. This is why it is a good idea to give your Java source files the same name as the class they contain—the name of the source file will match the name of the **.class** file. When you execute **java** as just shown, you are actually specifying the name of the class that you want to execute. It will automatically search for a file by that name that has the **.class** extension. If it finds the file, it will execute the code contained in the specified class.

A Closer Look at the First Sample Program

Although **Example.java** is quite short, it includes several key features that are common to all Java programs. Let's closely examine each part of the program.

The program begins with the following lines:

```
/*
  This is a simple Java program.
  Call this file "Example.java".
*/
```

This is a *comment*. Like most other programming languages, Java lets you enter a remark into a program's source file. The contents of a comment are ignored by the compiler. Instead, a comment describes or explains the operation of the program to anyone who is reading its source code. In this case, the comment describes the program and reminds you that the source file should be called **Example.java**. Of course, in real applications, comments generally explain how some part of the program works or what a specific feature does.

Java supports three styles of comments. The one shown at the top of the program is called a *multiline comment*. This type of comment must begin with `/*` and end with `*/`. Anything between these two comment symbols is ignored by the compiler. As the name suggests, a multiline comment may be several lines long.

The next line of code in the program is shown here:

```
class Example {
```

This line uses the keyword **class** to declare that a new class is being defined. **Example** is an *identifier* that is the name of the class. The entire class definition, including all of its members, will be between the opening curly brace (`{`) and the closing curly brace (`}`). For the moment, don't worry too much about the details of a class except to note that in Java, all program activity occurs within one. This is one reason why all Java programs are (at least a little bit) object-oriented.

The next line in the program is the *single-line comment*, shown here:

```
// Your program begins with a call to main().
```

This is the second type of comment supported by Java. A *single-line comment* begins with a `//` and ends at the end of the line. As a general rule, programmers use multiline comments for longer remarks and single-line comments for brief, line-by-line descriptions. The third type of comment, a *documentation comment*, will be discussed in the “Comments” section later in this chapter.

The next line of code is shown here:

```
public static void main(String args[]) {
```

This line begins the **main()** method. As the comment preceding it suggests, this is the line at which the program will begin executing. All Java applications begin execution by calling **main()**. The full meaning of each part of this line cannot be given now, since it involves a detailed understanding of Java's approach to encapsulation. However, since most of the examples in the first part of this book will use this line of code, let's take a brief look at each part now.

The **public** keyword is an *access specifier*, which allows the programmer to control the visibility of class members. When a class member is preceded by **public**, then that member may be accessed by code outside the class in which it is declared. (The opposite of **public** is **private**, which prevents a member from being used by code defined outside of its class.) In this case, **main()** must be declared as **public**, since it must be called by code outside of its class when the program is started. The keyword **static** allows **main()** to be called without having to instantiate a particular instance of the class. This is necessary since **main()** is called by the Java Virtual Machine before any objects are made. The keyword **void** simply tells the compiler that **main()** does not return a value. As you will see, methods may also return values. If all this seems a bit confusing, don't worry. All of these concepts will be discussed in detail in subsequent chapters.

As stated, **main()** is the method called when a Java application begins. Keep in mind that Java is case-sensitive. Thus, **Main** is different from **main**. It is important to understand that the Java compiler will compile classes that do not contain a **main()** method. But **java** has no way to run these classes. So, if you had typed **Main** instead of **main**, the compiler would

still compile your program. However, **java** would report an error because it would be unable to find the **main()** method.

Any information that you need to pass to a method is received by variables specified within the set of parentheses that follow the name of the method. These variables are called *parameters*. If there are no parameters required for a given method, you still need to include the empty parentheses. In **main()**, there is only one parameter, albeit a complicated one. **String args[]** declares a parameter named **args**, which is an array of instances of the class **String**. (*Arrays* are collections of similar objects.) Objects of type **String** store character strings. In this case, **args** receives any command-line arguments present when the program is executed. This program does not make use of this information, but other programs shown later in this book will.

The last character on the line is the **{**. This signals the start of **main()**'s body. All of the code that comprises a method will occur between the method's opening curly brace and its closing curly brace.

One other point: **main()** is simply a starting place for your program. A complex program will have dozens of classes, only one of which will need to have a **main()** method to get things started. When you begin creating applets—Java programs that are embedded in web browsers—you won't use **main()** at all, since the web browser uses a different means of starting the execution of applets.

The next line of code is shown here. Notice that it occurs inside **main()**.

```
System.out.println("This is a simple Java program.");
```

This line outputs the string "This is a simple Java program." followed by a new line on the screen. Output is actually accomplished by the built-in **println()** method. In this case, **println()** displays the string which is passed to it. As you will see, **println()** can be used to display other types of information, too. The line begins with **System.out**. While too complicated to explain in detail at this time, briefly, **System** is a predefined class that provides access to the system, and **out** is the output stream that is connected to the console.

As you have probably guessed, console output (and input) is not used frequently in most real-world Java programs and applets. Since most modern computing environments are windowed and graphical in nature, console I/O is used mostly for simple utility programs and for demonstration programs. Later in this book, you will learn other ways to generate output using Java. But for now, we will continue to use the console I/O methods.

Notice that the **println()** statement ends with a semicolon. All statements in Java end with a semicolon. The reason that the other lines in the program do not end in a semicolon is that they are not, technically, statements.

The first **}** in the program ends **main()**, and the last **}** ends the **Example** class definition.

A Second Short Program

Perhaps no other concept is more fundamental to a programming language than that of a variable. As you probably know, a *variable* is a named memory location that may be assigned a value by your program. The value of a variable may be changed during the execution of the program. The next program shows how a variable is declared and how it is assigned a value. The program also illustrates some new aspects of console output. As the comments at the top of the program state, you should call this file **Example2.java**.

```

/*
 Here is another short example.
 Call this file "Example2.java".
*/

class Example2 {
    public static void main(String args[]) {
        int num; // this declares a variable called num

        num = 100; // this assigns num the value 100

        System.out.println("This is num: " + num);

        num = num * 2;

        System.out.print("The value of num * 2 is ");
        System.out.println(num);
    }
}

```

When you run this program, you will see the following output:

```

This is num: 100
The value of num * 2 is 200

```

Let's take a close look at why this output is generated. The first new line in the program is shown here:

```
int num; // this declares a variable called num
```

This line declares an integer variable called **num**. Java (like most other languages) requires that variables be declared before they are used.

Following is the general form of a variable declaration:

```
type var-name;
```

Here, *type* specifies the type of variable being declared, and *var-name* is the name of the variable. If you want to declare more than one variable of the specified type, you may use a comma-separated list of variable names. Java defines several data types, including integer, character, and floating-point. The keyword **int** specifies an integer type.

In the program, the line

```
num = 100; // this assigns num the value 100
```

assigns to **num** the value 100. In Java, the assignment operator is a single equal sign.

The next line of code outputs the value of **num** preceded by the string "This is num:".

```
System.out.println("This is num: " + num);
```

In this statement, the plus sign causes the value of **num** to be appended to the string that precedes it, and then the resulting string is output. (Actually, **num** is first converted from an integer into its string equivalent and then concatenated with the string that precedes it. This

process is described in detail later in this book.) This approach can be generalized. Using the `+` operator, you can join together as many items as you want within a single `println()` statement.

The next line of code assigns `num` the value of `num` times 2. Like most other languages, Java uses the `*` operator to indicate multiplication. After this line executes, `num` will contain the value 200.

Here are the next two lines in the program:

```
System.out.print("The value of num * 2 is ");
System.out.println(num);
```

Several new things are occurring here. First, the built-in method `print()` is used to display the string "The value of num * 2 is ". This string is *not* followed by a newline. This means that when the next output is generated, it will start on the same line. The `print()` method is just like `println()`, except that it does not output a newline character after each call. Now look at the call to `println()`. Notice that `num` is used by itself. Both `print()` and `println()` can be used to output values of any of Java's built-in types.

Two Control Statements

Although Chapter 5 will look closely at control statements, two are briefly introduced here so that they can be used in example programs in Chapters 3 and 4. They will also help illustrate an important aspect of Java: blocks of code.

The if Statement

The Java `if` statement works much like the IF statement in any other language. Further, it is syntactically identical to the `if` statements in C, C++, and C#. Its simplest form is shown here:

```
if(condition) statement;
```

Here, *condition* is a Boolean expression. If *condition* is true, then the statement is executed. If *condition* is false, then the statement is bypassed. Here is an example:

```
if(num < 100) System.out.println("num is less than 100");
```

In this case, if `num` contains a value that is less than 100, the conditional expression is true, and `println()` will execute. If `num` contains a value greater than or equal to 100, then the `println()` method is bypassed.

As you will see in Chapter 4, Java defines a full complement of relational operators which may be used in a conditional expression. Here are a few:

Operator	Meaning
<	Less than
>	Greater than
==	Equal to

Notice that the test for equality is the double equal sign.

Here is a program that illustrates the **if** statement:

```

/*
 Demonstrate the if.

 Call this file "IfSample.java".
*/
class IfSample {
  public static void main(String args[]) {
    int x, y;

    x = 10;
    y = 20;

    if(x < y) System.out.println("x is less than y");

    x = x * 2;
    if(x == y) System.out.println("x now equal to y");

    x = x * 2;
    if(x > y) System.out.println("x now greater than y");

    // this won't display anything
    if(x == y) System.out.println("you won't see this");
  }
}

```

The output generated by this program is shown here:

```

x is less than y
x now equal to y
x now greater than y

```

Notice one other thing in this program. The line

```
int x, y;
```

declares two variables, **x** and **y**, by use of a comma-separated list.

The for Loop

As you may know from your previous programming experience, loop statements are an important part of nearly any programming language. Java is no exception. In fact, as you will see in Chapter 5, Java supplies a powerful assortment of loop constructs. Perhaps the most versatile is the **for** loop. The simplest form of the **for** loop is shown here:

```
for(initialization; condition; iteration) statement;
```

In its most common form, the *initialization* portion of the loop sets a loop control variable to an initial value. The *condition* is a Boolean expression that tests the loop control variable. If the outcome of that test is true, the **for** loop continues to iterate. If it is false, the loop

terminates. The *iteration* expression determines how the loop control variable is changed each time the loop iterates. Here is a short program that illustrates the **for** loop:

```
/*
   Demonstrate the for loop.

   Call this file "ForTest.java".
*/
class ForTest {
    public static void main(String args[]) {
        int x;

        for(x = 0; x<10; x = x+1)
            System.out.println("This is x: " + x);
    }
}
```

This program generates the following output:

```
This is x: 0
This is x: 1
This is x: 2
This is x: 3
This is x: 4
This is x: 5
This is x: 6
This is x: 7
This is x: 8
This is x: 9
```

In this example, *x* is the loop control variable. It is initialized to zero in the initialization portion of the **for**. At the start of each iteration (including the first one), the conditional test *x* < 10 is performed. If the outcome of this test is true, the **println()** statement is executed, and then the iteration portion of the loop is executed. This process continues until the conditional test is false.

As a point of interest, in professionally written Java programs you will almost never see the iteration portion of the loop written as shown in the preceding program. That is, you will seldom see statements like this:

```
x = x + 1;
```

The reason is that Java includes a special increment operator which performs this operation more efficiently. The increment operator is **++**. (That is, two plus signs back to back.) The increment operator increases its operand by one. By use of the increment operator, the preceding statement can be written like this:

```
x++;
```

Thus, the **for** in the preceding program will usually be written like this:

```
for(x = 0; x<10; x++)
```

You might want to try this. As you will see, the loop still runs exactly the same as it did before.

Java also provides a decrement operator, which is specified as `--`. This operator decreases its operand by one.

Using Blocks of Code

Java allows two or more statements to be grouped into *blocks of code*, also called *code blocks*. This is done by enclosing the statements between opening and closing curly braces. Once a block of code has been created, it becomes a logical unit that can be used any place that a single statement can. For example, a block can be a target for Java's `if` and `for` statements. Consider this `if` statement:

```
if(x < y) { // begin a block
    x = y;
    y = 0;
} // end of block
```

Here, if `x` is less than `y`, then both statements inside the block will be executed. Thus, the two statements inside the block form a logical unit, and one statement cannot execute without the other also executing. The key point here is that whenever you need to logically link two or more statements, you do so by creating a block.

Let's look at another example. The following program uses a block of code as the target of a `for` loop.

```
/*
   Demonstrate a block of code.

   Call this file "BlockTest.java"
*/
class BlockTest {
    public static void main(String args[]) {
        int x, y;

        y = 20;

        // the target of this loop is a block
        for(x = 0; x<10; x++) {
            System.out.println("This is x: " + x);
            System.out.println("This is y: " + y);
            y = y - 2;
        }
    }
}
```

The output generated by this program is shown here:

```
This is x: 0
This is y: 20
```

```

This is x: 1
This is y: 18
This is x: 2
This is y: 16
This is x: 3
This is y: 14
This is x: 4
This is y: 12
This is x: 5
This is y: 10
This is x: 6
This is y: 8
This is x: 7
This is y: 6
This is x: 8
This is y: 4
This is x: 9
This is y: 2

```

In this case, the target of the **for** loop is a block of code and not just a single statement. Thus, each time the loop iterates, the three statements inside the block will be executed. This fact is, of course, evidenced by the output generated by the program.

As you will see later in this book, blocks of code have additional properties and uses. However, the main reason for their existence is to create logically inseparable units of code.

Lexical Issues

Now that you have seen several short Java programs, it is time to more formally describe the atomic elements of Java. Java programs are a collection of whitespace, identifiers, literals, comments, operators, separators, and keywords. The operators are described in the next chapter. The others are described next.

Whitespace

Java is a free-form language. This means that you do not need to follow any special indentation rules. For instance, the **Example** program could have been written all on one line or in any other strange way you felt like typing it, as long as there was at least one whitespace character between each token that was not already delineated by an operator or separator. In Java, whitespace is a space, tab, or newline.

Identifiers

Identifiers are used for class names, method names, and variable names. An identifier may be any descriptive sequence of uppercase and lowercase letters, numbers, or the underscore and dollar-sign characters. They must not begin with a number, lest they be confused with a numeric literal. Again, Java is case-sensitive, so **VALUE** is a different identifier than **Value**. Some examples of valid identifiers are

AvgTemp	count	a4	\$test	this_is_ok
---------	-------	----	--------	------------

Invalid identifier names include these:

2count	high-temp	Not/ok
--------	-----------	--------

Literals

A constant value in Java is created by using a *literal* representation of it. For example, here are some literals:

100	98.6	'X'	"This is a test"
-----	------	-----	------------------

Left to right, the first literal specifies an integer, the next is a floating-point value, the third is a character constant, and the last is a string. A literal can be used anywhere a value of its type is allowed.

Comments

As mentioned, there are three types of comments defined by Java. You have already seen two: single-line and multiline. The third type is called a *documentation comment*. This type of comment is used to produce an HTML file that documents your program. The documentation comment begins with a `/**` and ends with a `*/`. Documentation comments are explained in Appendix A.

Separators

In Java, there are a few characters that are used as separators. The most commonly used separator in Java is the semicolon. As you have seen, it is used to terminate statements. The separators are shown in the following table:

Symbol	Name	Purpose
()	Parentheses	Used to contain lists of parameters in method definition and invocation. Also used for defining precedence in expressions, containing expressions in control statements, and surrounding cast types.
{ }	Braces	Used to contain the values of automatically initialized arrays. Also used to define a block of code, for classes, methods, and local scopes.
[]	Brackets	Used to declare array types. Also used when dereferencing array values.
;	Semicolon	Terminates statements.
,	Comma	Separates consecutive identifiers in a variable declaration. Also used to chain statements together inside a for statement.
.	Period	Used to separate package names from subpackages and classes. Also used to separate a variable or method from a reference variable.

The Java Keywords

There are 50 keywords currently defined in the Java language (see Table 2-1). These keywords, combined with the syntax of the operators and separators, form the foundation of the Java language. These keywords cannot be used as names for a variable, class, or method.

abstract	continue	for	new	switch
assert	default	goto	package	synchronized
boolean	do	if	private	this
break	double	implements	protected	throw
byte	else	import	public	throws
case	enum	instanceof	return	transient
catch	extends	int	short	try
char	final	interface	static	void
class	finally	long	strictfp	volatile
const	float	native	super	while

TABLE 2-1 Java Keywords

The keywords **const** and **goto** are reserved but not used. In the early days of Java, several other keywords were reserved for possible future use. However, the current specification for Java only defines the keywords shown in Table 2-1.

In addition to the keywords, Java reserves the following: **true**, **false**, and **null**. These are values defined by Java. You may not use these words for the names of variables, classes, and so on.

The Java Class Libraries

The sample programs shown in this chapter make use of two of Java's built-in methods: **println()** and **print()**. As mentioned, these methods are members of the **System** class, which is a class predefined by Java that is automatically included in your programs. In the larger view, the Java environment relies on several built-in class libraries that contain many built-in methods that provide support for such things as I/O, string handling, networking, and graphics. The standard classes also provide support for windowed output. Thus, Java as a totality is a combination of the Java language itself, plus its standard classes. As you will see, the class libraries provide much of the functionality that comes with Java. Indeed, part of becoming a Java programmer is learning to use the standard Java classes. Throughout Part I of this book, various elements of the standard library classes and methods are described as needed. In Part II, the class libraries are described in detail.

Data Types, Variables, and Arrays

This chapter examines three of Java's most fundamental elements: data types, variables, and arrays. As with all modern programming languages, Java supports several types of data. You may use these types to declare variables and to create arrays. As you will see, Java's approach to these items is clean, efficient, and cohesive.

Java Is a Strongly Typed Language

It is important to state at the outset that Java is a strongly typed language. Indeed, part of Java's safety and robustness comes from this fact. Let's see what this means. First, every variable has a type, every expression has a type, and every type is strictly defined. Second, all assignments, whether explicit or via parameter passing in method calls, are checked for type compatibility. There are no automatic coercions or conversions of conflicting types as in some languages. The Java compiler checks all expressions and parameters to ensure that the types are compatible. Any type mismatches are errors that must be corrected before the compiler will finish compiling the class.

The Primitive Types

Java defines eight *primitive* types of data: **byte**, **short**, **int**, **long**, **char**, **float**, **double**, and **boolean**. The primitive types are also commonly referred to as *simple* types, and both terms will be used in this book. These can be put in four groups:

- **Integers** This group includes **byte**, **short**, **int**, and **long**, which are for whole-valued signed numbers.
- **Floating-point numbers** This group includes **float** and **double**, which represent numbers with fractional precision.

- Characters This group includes **char**, which represents symbols in a character set, like letters and numbers.
- Boolean This group includes **boolean**, which is a special type for representing true/false values.

You can use these types as-is, or to construct arrays or your own class types. Thus, they form the basis for all other types of data that you can create.

The primitive types represent single values—not complex objects. Although Java is otherwise completely object-oriented, the primitive types are not. They are analogous to the simple types found in most other non-object-oriented languages. The reason for this is efficiency. Making the primitive types into objects would have degraded performance too much.

The primitive types are defined to have an explicit range and mathematical behavior. Languages such as C and C++ allow the size of an integer to vary based upon the dictates of the execution environment. However, Java is different. Because of Java’s portability requirement, all data types have a strictly defined range. For example, an **int** is always 32 bits, regardless of the particular platform. This allows programs to be written that are guaranteed to run *without porting* on any machine architecture. While strictly specifying the size of an integer may cause a small loss of performance in some environments, it is necessary in order to achieve portability.

Let’s look at each type of data in turn.

Integers

Java defines four integer types: **byte**, **short**, **int**, and **long**. All of these are signed, positive and negative values. Java does not support unsigned, positive-only integers. Many other computer languages support both signed and unsigned integers. However, Java’s designers felt that unsigned integers were unnecessary. Specifically, they felt that the concept of *unsigned* was used mostly to specify the behavior of the *high-order bit*, which defines the *sign* of an integer value. As you will see in Chapter 4, Java manages the meaning of the high-order bit differently, by adding a special “unsigned right shift” operator. Thus, the need for an unsigned integer type was eliminated.

The *width* of an integer type should not be thought of as the amount of storage it consumes, but rather as the *behavior* it defines for variables and expressions of that type. The Java run-time environment is free to use whatever size it wants, as long as the types behave as you declared them. The width and ranges of these integer types vary widely, as shown in this table:

Name	Width	Range
long	64	−9,223,372,036,854,775,808 to 9,223,372,036,854,775,807
int	32	−2,147,483,648 to 2,147,483,647
short	16	−32,768 to 32,767
byte	8	−128 to 127

Let’s look at each type of integer.

byte

The smallest integer type is **byte**. This is a signed 8-bit type that has a range from -128 to 127. Variables of type **byte** are especially useful when you're working with a stream of data from a network or file. They are also useful when you're working with raw binary data that may not be directly compatible with Java's other built-in types.

Byte variables are declared by use of the **byte** keyword. For example, the following declares two **byte** variables called **b** and **c**:

```
byte b, c;
```

short

short is a signed 16-bit type. It has a range from -32,768 to 32,767. It is probably the least-used Java type. Here are some examples of **short** variable declarations:

```
short s;  
short t;
```

int

The most commonly used integer type is **int**. It is a signed 32-bit type that has a range from -2,147,483,648 to 2,147,483,647. In addition to other uses, variables of type **int** are commonly employed to control loops and to index arrays. Although you might think that using a **byte** or **short** would be more efficient than using an **int** in situations in which the larger range of an **int** is not needed, this may not be the case. The reason is that when **byte** and **short** values are used in an expression they are *promoted* to **int** when the expression is evaluated. (Type promotion is described later in this chapter.) Therefore, **int** is often the best choice when an integer is needed.

long

long is a signed 64-bit type and is useful for those occasions where an **int** type is not large enough to hold the desired value. The range of a **long** is quite large. This makes it useful when big, whole numbers are needed. For example, here is a program that computes the number of miles that light will travel in a specified number of days.

```
// Compute distance light travels using long variables.  
class Light {  
    public static void main(String args[]) {  
        int lightspeed;  
        long days;  
        long seconds;  
        long distance;  
  
        // approximate speed of light in miles per second  
        lightspeed = 186000;  
  
        days = 1000; // specify number of days here
```

```

seconds = days * 24 * 60 * 60; // convert to seconds

distance = lightspeed * seconds; // compute distance

System.out.print("In " + days);
System.out.print(" days light will travel about ");
System.out.println(distance + " miles.");
}
}

```

This program generates the following output:

```
In 1000 days light will travel about 16070400000000 miles.
```

Clearly, the result could not have been held in an **int** variable.

Floating-Point Types

Floating-point numbers, also known as *real* numbers, are used when evaluating expressions that require fractional precision. For example, calculations such as square root, or transcendentals such as sine and cosine, result in a value whose precision requires a floating-point type. Java implements the standard (IEEE-754) set of floating-point types and operators. There are two kinds of floating-point types, **float** and **double**, which represent single- and double-precision numbers, respectively. Their width and ranges are shown here:

Name	Width in Bits	Approximate Range
double	64	4.9e-324 to 1.8e+308
float	32	1.4e-045 to 3.4e+038

Each of these floating-point types is examined next.

float

The type **float** specifies a *single-precision* value that uses 32 bits of storage. Single precision is faster on some processors and takes half as much space as double precision, but will become imprecise when the values are either very large or very small. Variables of type **float** are useful when you need a fractional component, but don't require a large degree of precision. For example, **float** can be useful when representing dollars and cents.

Here are some example **float** variable declarations:

```
float hightemp, lowtemp;
```

double

Double precision, as denoted by the **double** keyword, uses 64 bits to store a value. Double precision is actually faster than single precision on some modern processors that have been optimized for high-speed mathematical calculations. All transcendental math functions, such as **sin()**, **cos()**, and **sqrt()**, return **double** values. When you need to maintain accuracy over

many iterative calculations, or are manipulating large-valued numbers, **double** is the best choice.

Here is a short program that uses **double** variables to compute the area of a circle:

```
// Compute the area of a circle.
class Area {
    public static void main(String args[]) {
        double pi, r, a;

        r = 10.8; // radius of circle
        pi = 3.1416; // pi, approximately
        a = pi * r * r; // compute area

        System.out.println("Area of circle is " + a);
    }
}
```

Characters

In Java, the data type used to store characters is **char**. However, C/C++ programmers beware: **char** in Java is not the same as **char** in C or C++. In C/C++, **char** is 8 bits wide. This is *not* the case in Java. Instead, Java uses Unicode to represent characters. *Unicode* defines a fully international character set that can represent all of the characters found in all human languages. It is a unification of dozens of character sets, such as Latin, Greek, Arabic, Cyrillic, Hebrew, Katakana, Hangul, and many more. For this purpose, it requires 16 bits. Thus, in Java **char** is a 16-bit type. The range of a **char** is 0 to 65,536. There are no negative **chars**. The standard set of characters known as ASCII still ranges from 0 to 127 as always, and the extended 8-bit character set, ISO-Latin-1, ranges from 0 to 255. Since Java is designed to allow programs to be written for worldwide use, it makes sense that it would use Unicode to represent characters. Of course, the use of Unicode is somewhat inefficient for languages such as English, German, Spanish, or French, whose characters can easily be contained within 8 bits. But such is the price that must be paid for global portability.

NOTE More information about Unicode can be found at <http://www.unicode.org>.

Here is a program that demonstrates **char** variables:

```
// Demonstrate char data type.
class CharDemo {
    public static void main(String args[]) {
        char ch1, ch2;

        ch1 = 88; // code for X
        ch2 = 'Y';

        System.out.print("ch1 and ch2: ");
        System.out.println(ch1 + " " + ch2);
    }
}
```

This program displays the following output:

```
ch1 and ch2: X Y
```

Notice that **ch1** is assigned the value 88, which is the ASCII (and Unicode) value that corresponds to the letter *X*. As mentioned, the ASCII character set occupies the first 127 values in the Unicode character set. For this reason, all the “old tricks” that you may have used with characters in other languages will work in Java, too.

Although **char** is designed to hold Unicode characters, it can also be thought of as an integer type on which you can perform arithmetic operations. For example, you can add two characters together, or increment the value of a character variable. Consider the following program:

```
// char variables behave like integers.
class CharDemo2 {
    public static void main(String args[]) {
        char ch1;

        ch1 = 'X';
        System.out.println("ch1 contains " + ch1);

        ch1++; // increment ch1
        System.out.println("ch1 is now " + ch1);
    }
}
```

The output generated by this program is shown here:

```
ch1 contains X
ch1 is now Y
```

In the program, **ch1** is first given the value *X*. Next, **ch1** is incremented. This results in **ch1** containing *Y*, the next character in the ASCII (and Unicode) sequence.

Booleans

Java has a primitive type, called **boolean**, for logical values. It can have only one of two possible values, **true** or **false**. This is the type returned by all relational operators, as in the case of **a < b**. **boolean** is also the type *required* by the conditional expressions that govern the control statements such as **if** and **for**.

Here is a program that demonstrates the **boolean** type:

```
// Demonstrate boolean values.
class BoolTest {
    public static void main(String args[]) {
        boolean b;

        b = false;
        System.out.println("b is " + b);
        b = true;
        System.out.println("b is " + b);

        // a boolean value can control the if statement
    }
}
```



```
if(b) System.out.println("This is executed.");

b = false;
if(b) System.out.println("This is not executed.");

// outcome of a relational operator is a boolean value
System.out.println("10 > 9 is " + (10 > 9));
}
}
```

The output generated by this program is shown here:

```
b is false
b is true
This is executed.
10 > 9 is true
```

There are three interesting things to notice about this program. First, as you can see, when a **boolean** value is output by `println()`, “true” or “false” is displayed. Second, the value of a **boolean** variable is sufficient, by itself, to control the `if` statement. There is no need to write an `if` statement like this:

```
if(b == true) ...
```

Third, the outcome of a relational operator, such as `<`, is a **boolean** value. This is why the expression `10 > 9` displays the value “true.” Further, the extra set of parentheses around `10 > 9` is necessary because the `+` operator has a higher precedence than the `>`.

A Closer Look at Literals

Literals were mentioned briefly in Chapter 2. Now that the built-in types have been formally described, let’s take a closer look at them.

Integer Literals

Integers are probably the most commonly used type in the typical program. Any whole number value is an integer literal. Examples are 1, 2, 3, and 42. These are all decimal values, meaning they are describing a base 10 number. There are two other bases which can be used in integer literals, *octal* (base eight) and *hexadecimal* (base 16). Octal values are denoted in Java by a leading zero. Normal decimal numbers cannot have a leading zero. Thus, the seemingly valid value 09 will produce an error from the compiler, since 9 is outside of octal’s 0 to 7 range. A more common base for numbers used by programmers is hexadecimal, which matches cleanly with modulo 8 word sizes, such as 8, 16, 32, and 64 bits. You signify a hexadecimal constant with a leading zero-x, (`0x` or `0X`). The range of a hexadecimal digit is 0 to 15, so *A* through *F* (or *a* through *f*) are substituted for 10 through 15.

Integer literals create an **int** value, which in Java is a 32-bit integer value. Since Java is strongly typed, you might be wondering how it is possible to assign an integer literal to one of Java’s other integer types, such as **byte** or **long**, without causing a type mismatch error. Fortunately, such situations are easily handled. When a literal value is assigned to a **byte** or **short** variable, no error is generated if the literal value is within the range of the target type.

An integer literal can always be assigned to a **long** variable. However, to specify a **long** literal, you will need to explicitly tell the compiler that the literal value is of type **long**. You do this by appending an upper- or lowercase *L* to the literal. For example, `0x7fffffffffffffffL` or `9223372036854775807L` is the largest **long**. An integer can also be assigned to a **char** as long as it is within range.

Floating-Point Literals

Floating-point numbers represent decimal values with a fractional component. They can be expressed in either standard or scientific notation. *Standard notation* consists of a whole number component followed by a decimal point followed by a fractional component. For example, `2.0`, `3.14159`, and `0.6667` represent valid standard-notation floating-point numbers. *Scientific notation* uses a standard-notation, floating-point number plus a suffix that specifies a power of 10 by which the number is to be multiplied. The exponent is indicated by an *E* or *e* followed by a decimal number, which can be positive or negative. Examples include `6.022E23`, `314159E-05`, and `2e+100`.

Floating-point literals in Java default to **double** precision. To specify a **float** literal, you must append an *F* or *f* to the constant. You can also explicitly specify a **double** literal by appending a *D* or *d*. Doing so is, of course, redundant. The default **double** type consumes 64 bits of storage, while the less-accurate **float** type requires only 32 bits.

Boolean Literals

Boolean literals are simple. There are only two logical values that a **boolean** value can have, **true** and **false**. The values of **true** and **false** do not convert into any numerical representation. The **true** literal in Java does not equal 1, nor does the **false** literal equal 0. In Java, they can only be assigned to variables declared as **boolean**, or used in expressions with Boolean operators.

Character Literals

Characters in Java are indices into the Unicode character set. They are 16-bit values that can be converted into integers and manipulated with the integer operators, such as the addition and subtraction operators. A literal character is represented inside a pair of single quotes. All of the visible ASCII characters can be directly entered inside the quotes, such as `'a'`, `'z'`, and `'@'`. For characters that are impossible to enter directly, there are several escape sequences that allow you to enter the character you need, such as `'\"` for the single-quote character itself and `'\n'` for the newline character. There is also a mechanism for directly entering the value of a character in octal or hexadecimal. For octal notation, use the backslash followed by the three-digit number. For example, `'\141'` is the letter `'a'`. For hexadecimal, you enter a backslash-u (`\u`), then exactly four hexadecimal digits. For example, `'\u0061'` is the ISO-Latin-1 `'a'` because the top byte is zero. `'\ua432'` is a Japanese Katakana character. Table 3-1 shows the character escape sequences.

String Literals

String literals in Java are specified like they are in most other languages—by enclosing a sequence of characters between a pair of double quotes. Examples of string literals are

```
"Hello World"
"two\nlines"
"\\"This is in quotes\""
```

TABLE 3-1
Character Escape
Sequences

Escape Sequence	Description
\ddd	Octal character (ddd)
\uxxxx	Hexadecimal Unicode character (xxxx)
\'	Single quote
\"	Double quote
\\	Backslash
\r	Carriage return
\n	New line (also known as line feed)
\f	Form feed
\t	Tab
\b	Backspace

The escape sequences and octal/hexadecimal notations that were defined for character literals work the same way inside of string literals. One important thing to note about Java strings is that they must begin and end on the same line. There is no line-continuation escape sequence as there is in some other languages.

NOTE *As you may know, in some other languages, including C/C++, strings are implemented as arrays of characters. However, this is not the case in Java. Strings are actually object types. As you will see later in this book, because Java implements strings as objects, Java includes extensive string-handling capabilities that are both powerful and easy to use.*

Variables

The variable is the basic unit of storage in a Java program. A variable is defined by the combination of an identifier, a type, and an optional initializer. In addition, all variables have a scope, which defines their visibility, and a lifetime. These elements are examined next.

Declaring a Variable

In Java, all variables must be declared before they can be used. The basic form of a variable declaration is shown here:

```
type identifier [= value][, identifier [= value] ...];
```

The *type* is one of Java's atomic types, or the name of a class or interface. (Class and interface types are discussed later in Part I of this book.) The *identifier* is the name of the variable. You can initialize the variable by specifying an equal sign and a value. Keep in mind that the initialization expression must result in a value of the same (or compatible) type as that specified for the variable. To declare more than one variable of the specified type, use a comma-separated list.

Here are several examples of variable declarations of various types. Note that some include an initialization.

```
int a, b, c;           // declares three ints, a, b, and c.
int d = 3, e, f = 5;  // declares three more ints, initializing
                    // d and f.
byte z = 22;         // initializes z.
double pi = 3.14159; // declares an approximation of pi.
char x = 'x';       // the variable x has the value 'x'.
```

The identifiers that you choose have nothing intrinsic in their names that indicates their type. Java allows any properly formed identifier to have any declared type.

Dynamic Initialization

Although the preceding examples have used only constants as initializers, Java allows variables to be initialized dynamically, using any expression valid at the time the variable is declared.

For example, here is a short program that computes the length of the hypotenuse of a right triangle given the lengths of its two opposing sides:

```
// Demonstrate dynamic initialization.
class DynInit {
    public static void main(String args[]) {
        double a = 3.0, b = 4.0;

        // c is dynamically initialized
        double c = Math.sqrt(a * a + b * b);

        System.out.println("Hypotenuse is " + c);
    }
}
```

Here, three local variables—**a**, **b**, and **c**—are declared. The first two, **a** and **b**, are initialized by constants. However, **c** is initialized dynamically to the length of the hypotenuse (using the Pythagorean theorem). The program uses another of Java's built-in methods, **sqrt()**, which is a member of the **Math** class, to compute the square root of its argument. The key point here is that the initialization expression may use any element valid at the time of the initialization, including calls to methods, other variables, or literals.

The Scope and Lifetime of Variables

So far, all of the variables used have been declared at the start of the **main()** method. However, Java allows variables to be declared within any block. As explained in Chapter 2, a block is begun with an opening curly brace and ended by a closing curly brace. A block defines a *scope*. Thus, each time you start a new block, you are creating a new scope. A scope determines what objects are visible to other parts of your program. It also determines the lifetime of those objects.

Many other computer languages define two general categories of scopes: global and local. However, these traditional scopes do not fit well with Java's strict, object-oriented model. While it is possible to create what amounts to being a global scope, it is by far the exception,

not the rule. In Java, the two major scopes are those defined by a class and those defined by a method. Even this distinction is somewhat artificial. However, since the class scope has several unique properties and attributes that do not apply to the scope defined by a method, this distinction makes some sense. Because of the differences, a discussion of class scope (and variables declared within it) is deferred until Chapter 6, when classes are described. For now, we will only examine the scopes defined by or within a method.

The scope defined by a method begins with its opening curly brace. However, if that method has parameters, they too are included within the method's scope. Although this book will look more closely at parameters in Chapter 6, for the sake of this discussion, they work the same as any other method variable.

As a general rule, variables declared inside a scope are not visible (that is, accessible) to code that is defined outside that scope. Thus, when you declare a variable within a scope, you are localizing that variable and protecting it from unauthorized access and/or modification. Indeed, the scope rules provide the foundation for encapsulation.

Scopes can be nested. For example, each time you create a block of code, you are creating a new, nested scope. When this occurs, the outer scope encloses the inner scope. This means that objects declared in the outer scope will be visible to code within the inner scope. However, the reverse is not true. Objects declared within the inner scope will not be visible outside it.

To understand the effect of nested scopes, consider the following program:

```
// Demonstrate block scope.
class Scope {
    public static void main(String args[]) {
        int x; // known to all code within main

        x = 10;
        if(x == 10) { // start new scope
            int y = 20; // known only to this block

            // x and y both known here.
            System.out.println("x and y: " + x + " " + y);
            x = y * 2;
        }
        // y = 100; // Error! y not known here

        // x is still known here.
        System.out.println("x is " + x);
    }
}
```

As the comments indicate, the variable `x` is declared at the start of `main()`'s scope and is accessible to all subsequent code within `main()`. Within the `if` block, `y` is declared. Since a block defines a scope, `y` is only visible to other code within its block. This is why outside of its block, the line `y = 100;` is commented out. If you remove the leading comment symbol, a compile-time error will occur, because `y` is not visible outside of its block. Within the `if` block, `x` can be used because code within a block (that is, a nested scope) has access to variables declared by an enclosing scope.

Within a block, variables can be declared at any point, but are valid only after they are declared. Thus, if you define a variable at the start of a method, it is available to all of the code within that method. Conversely, if you declare a variable at the end of a block, it is effectively useless, because no code will have access to it. For example, this fragment is invalid because **count** cannot be used prior to its declaration:

```
// This fragment is wrong!
count = 100; // oops! cannot use count before it is declared!
int count;
```

Here is another important point to remember: variables are created when their scope is entered, and destroyed when their scope is left. This means that a variable will not hold its value once it has gone out of scope. Therefore, variables declared within a method will not hold their values between calls to that method. Also, a variable declared within a block will lose its value when the block is left. Thus, the lifetime of a variable is confined to its scope.

If a variable declaration includes an initializer, then that variable will be reinitialized each time the block in which it is declared is entered. For example, consider the next program.

```
// Demonstrate lifetime of a variable.
class LifeTime {
    public static void main(String args[]) {
        int x;

        for(x = 0; x < 3; x++) {
            int y = -1; // y is initialized each time block is entered
            System.out.println("y is: " + y); // this always prints -1
            y = 100;
            System.out.println("y is now: " + y);
        }
    }
}
```

The output generated by this program is shown here:

```
y is: -1
y is now: 100
y is: -1
y is now: 100
y is: -1
y is now: 100
```

As you can see, **y** is reinitialized to **-1** each time the inner **for** loop is entered. Even though it is subsequently assigned the value **100**, this value is lost.

One last point: Although blocks can be nested, you cannot declare a variable to have the same name as one in an outer scope. For example, the following program is illegal:

```
// This program will not compile
class ScopeErr {
    public static void main(String args[]) {
```

```
int bar = 1;
{
    // creates a new scope
    int bar = 2; // Compile-time error - bar already defined!
}
}
```

Type Conversion and Casting

If you have previous programming experience, then you already know that it is fairly common to assign a value of one type to a variable of another type. If the two types are compatible, then Java will perform the conversion automatically. For example, it is always possible to assign an **int** value to a **long** variable. However, not all types are compatible, and thus, not all type conversions are implicitly allowed. For instance, there is no automatic conversion defined from **double** to **byte**. Fortunately, it is still possible to obtain a conversion between incompatible types. To do so, you must use a *cast*, which performs an explicit conversion between incompatible types. Let's look at both automatic type conversions and casting.

Java's Automatic Conversions

When one type of data is assigned to another type of variable, an *automatic type conversion* will take place if the following two conditions are met:

- The two types are compatible.
- The destination type is larger than the source type.

When these two conditions are met, a *widening conversion* takes place. For example, the **int** type is always large enough to hold all valid **byte** values, so no explicit cast statement is required.

For widening conversions, the numeric types, including integer and floating-point types, are compatible with each other. However, there are no automatic conversions from the numeric types to **char** or **boolean**. Also, **char** and **boolean** are not compatible with each other.

As mentioned earlier, Java also performs an automatic type conversion when storing a literal integer constant into variables of type **byte**, **short**, **long**, or **char**.

Casting Incompatible Types

Although the automatic type conversions are helpful, they will not fulfill all needs. For example, what if you want to assign an **int** value to a **byte** variable? This conversion will not be performed automatically, because a **byte** is smaller than an **int**. This kind of conversion is sometimes called a *narrowing conversion*, since you are explicitly making the value narrower so that it will fit into the target type.

To create a conversion between two incompatible types, you must use a cast. A *cast* is simply an explicit type conversion. It has this general form:

(target-type) value

Here, *target-type* specifies the desired type to convert the specified value to. For example, the following fragment casts an **int** to a **byte**. If the integer's value is larger than the range of a **byte**, it will be reduced modulo (the remainder of an integer division by the) **byte**'s range.

```
int a;
byte b;
// ...
b = (byte) a;
```

A different type of conversion will occur when a floating-point value is assigned to an integer type: *truncation*. As you know, integers do not have fractional components. Thus, when a floating-point value is assigned to an integer type, the fractional component is lost. For example, if the value 1.23 is assigned to an integer, the resulting value will simply be 1. The 0.23 will have been truncated. Of course, if the size of the whole number component is too large to fit into the target integer type, then that value will be reduced modulo the target type's range.

The following program demonstrates some type conversions that require casts:

```
// Demonstrate casts.
class Conversion {
    public static void main(String args[]) {
        byte b;
        int i = 257;
        double d = 323.142;

        System.out.println("\nConversion of int to byte.");
        b = (byte) i;
        System.out.println("i and b " + i + " " + b);

        System.out.println("\nConversion of double to int.");
        i = (int) d;
        System.out.println("d and i " + d + " " + i);

        System.out.println("\nConversion of double to byte.");
        b = (byte) d;
        System.out.println("d and b " + d + " " + b);
    }
}
```

This program generates the following output:

```
Conversion of int to byte.
i and b 257 1

Conversion of double to int.
d and i 323.142 323

Conversion of double to byte.
d and b 323.142 67
```


Let's look at each conversion. When the value 257 is cast into a **byte** variable, the result is the remainder of the division of 257 by 256 (the range of a **byte**), which is 1 in this case. When the **d** is converted to an **int**, its fractional component is lost. When **d** is converted to a **byte**, its fractional component is lost, and the value is reduced modulo 256, which in this case is 67.

Automatic Type Promotion in Expressions

In addition to assignments, there is another place where certain type conversions may occur: in expressions. To see why, consider the following. In an expression, the precision required of an intermediate value will sometimes exceed the range of either operand. For example, examine the following expression:

```
byte a = 40;
byte b = 50;
byte c = 100;
int d = a * b / c;
```

The result of the intermediate term **a * b** easily exceeds the range of either of its **byte** operands. To handle this kind of problem, Java automatically promotes each **byte**, **short**, or **char** operand to **int** when evaluating an expression. This means that the subexpression **a * b** is performed using integers—not bytes. Thus, 2,000, the result of the intermediate expression, **50 * 40**, is legal even though **a** and **b** are both specified as type **byte**.

As useful as the automatic promotions are, they can cause confusing compile-time errors. For example, this seemingly correct code causes a problem:

```
byte b = 50;
b = b * 2; // Error! Cannot assign an int to a byte!
```

The code is attempting to store **50 * 2**, a perfectly valid **byte** value, back into a **byte** variable. However, because the operands were automatically promoted to **int** when the expression was evaluated, the result has also been promoted to **int**. Thus, the result of the expression is now of type **int**, which cannot be assigned to a **byte** without the use of a cast. This is true even if, as in this particular case, the value being assigned would still fit in the target type.

In cases where you understand the consequences of overflow, you should use an explicit cast, such as

```
byte b = 50;
b = (byte) (b * 2);
```

which yields the correct value of 100.

The Type Promotion Rules

Java defines several *type promotion* rules that apply to expressions. They are as follows: First, all **byte**, **short**, and **char** values are promoted to **int**, as just described. Then, if one operand is a **long**, the whole expression is promoted to **long**. If one operand is a **float**, the entire expression is promoted to **float**. If any of the operands is **double**, the result is **double**.

The following program demonstrates how each value in the expression gets promoted to match the second argument to each binary operator:

```
class Promote {
    public static void main(String args[]) {
        byte b = 42;
        char c = 'a';
        short s = 1024;
        int i = 50000;
        float f = 5.67f;
        double d = .1234;
        double result = (f * b) + (i / c) - (d * s);
        System.out.println((f * b) + " + " + (i / c) + " - " + (d * s));
        System.out.println("result = " + result);
    }
}
```

Let's look closely at the type promotions that occur in this line from the program:

```
double result = (f * b) + (i / c) - (d * s);
```

In the first subexpression, **f * b**, **b** is promoted to a **float** and the result of the subexpression is **float**. Next, in the subexpression **i / c**, **c** is promoted to **int**, and the result is of type **int**. Then, in **d * s**, the value of **s** is promoted to **double**, and the type of the subexpression is **double**. Finally, these three intermediate values, **float**, **int**, and **double**, are considered. The outcome of **float** plus an **int** is a **float**. Then the resultant **float** minus the last **double** is promoted to **double**, which is the type for the final result of the expression.

Arrays

An *array* is a group of like-typed variables that are referred to by a common name. Arrays of any type can be created and may have one or more dimensions. A specific element in an array is accessed by its index. Arrays offer a convenient means of grouping related information.

NOTE *If you are familiar with C/C++, be careful. Arrays in Java work differently than they do in those languages.*

One-Dimensional Arrays

A *one-dimensional array* is, essentially, a list of like-typed variables. To create an array, you first must create an array variable of the desired type. The general form of a one-dimensional array declaration is

```
type var-name[ ];
```

Here, *type* declares the base type of the array. The base type determines the data type of each element that comprises the array. Thus, the base type for the array determines what type of data the array will hold. For example, the following declares an array named **month_days** with the type "array of int":

```
int month_days[ ];
```

Although this declaration establishes the fact that `month_days` is an array variable, no array actually exists. In fact, the value of `month_days` is set to `null`, which represents an array with no value. To link `month_days` with an actual, physical array of integers, you must allocate one using `new` and assign it to `month_days`. `new` is a special operator that allocates memory.

You will look more closely at `new` in a later chapter, but you need to use it now to allocate memory for arrays. The general form of `new` as it applies to one-dimensional arrays appears as follows:

```
array-var = new type[size];
```

Here, `type` specifies the type of data being allocated, `size` specifies the number of elements in the array, and `array-var` is the array variable that is linked to the array. That is, to use `new` to allocate an array, you must specify the type and number of elements to allocate. The elements in the array allocated by `new` will automatically be initialized to zero. This example allocates a 12-element array of integers and links them to `month_days`.

```
month_days = new int[12];
```

After this statement executes, `month_days` will refer to an array of 12 integers. Further, all elements in the array will be initialized to zero.

Let's review: Obtaining an array is a two-step process. First, you must declare a variable of the desired array type. Second, you must allocate the memory that will hold the array, using `new`, and assign it to the array variable. Thus, in Java all arrays are dynamically allocated. If the concept of dynamic allocation is unfamiliar to you, don't worry. It will be described at length later in this book.

Once you have allocated an array, you can access a specific element in the array by specifying its index within square brackets. All array indexes start at zero. For example, this statement assigns the value 28 to the second element of `month_days`.

```
month_days[1] = 28;
```

The next line displays the value stored at index 3.

```
System.out.println(month_days[3]);
```

Putting together all the pieces, here is a program that creates an array of the number of days in each month.

```
// Demonstrate a one-dimensional array.
class Array {
    public static void main(String args[]) {
        int month_days[];
        month_days = new int[12];
        month_days[0] = 31;
        month_days[1] = 28;
        month_days[2] = 31;
        month_days[3] = 30;
        month_days[4] = 31;
        month_days[5] = 30;
        month_days[6] = 31;
    }
}
```

```

    month_days[7] = 31;
    month_days[8] = 30;
    month_days[9] = 31;
    month_days[10] = 30;
    month_days[11] = 31;
    System.out.println("April has " + month_days[3] + " days.");
}
}

```

When you run this program, it prints the number of days in April. As mentioned, Java array indexes start with zero, so the number of days in April is **month_days[3]** or 30.

It is possible to combine the declaration of the array variable with the allocation of the array itself, as shown here:

```
int month_days[] = new int[12];
```

This is the way that you will normally see it done in professionally written Java programs.

Arrays can be initialized when they are declared. The process is much the same as that used to initialize the simple types. An *array initializer* is a list of comma-separated expressions surrounded by curly braces. The commas separate the values of the array elements. The array will automatically be created large enough to hold the number of elements you specify in the array initializer. There is no need to use **new**. For example, to store the number of days in each month, the following code creates an initialized array of integers:

```

// An improved version of the previous program.
class AutoArray {
    public static void main(String args[]) {

        int month_days[] = { 31, 28, 31, 30, 31, 30, 31, 31, 30, 31,
                             30, 31 };
        System.out.println("April has " + month_days[3] + " days.");
    }
}

```

When you run this program, you see the same output as that generated by the previous version.

Java strictly checks to make sure you do not accidentally try to store or reference values outside of the range of the array. The Java run-time system will check to be sure that all array indexes are in the correct range. For example, the run-time system will check the value of each index into **month_days** to make sure that it is between 0 and 11 inclusive. If you try to access elements outside the range of the array (negative numbers or numbers greater than the length of the array), you will cause a run-time error.

Here is one more example that uses a one-dimensional array. It finds the average of a set of numbers.

```

// Average an array of values.
class Average {
    public static void main(String args[]) {
        double nums[] = {10.1, 11.2, 12.3, 13.4, 14.5};
        double result = 0;
        int i;
    }
}

```

```

    for(i=0; i<5; i++)
        result = result + nums[i];

    System.out.println("Average is " + result / 5);
}
}

```

Multidimensional Arrays

In Java, *multidimensional arrays* are actually arrays of arrays. These, as you might expect, look and act like regular multidimensional arrays. However, as you will see, there are a couple of subtle differences. To declare a multidimensional array variable, specify each additional index using another set of square brackets. For example, the following declares a two-dimensional array variable called **twoD**.

```
int twoD[][] = new int[4][5];
```

This allocates a 4 by 5 array and assigns it to **twoD**. Internally this matrix is implemented as an *array of arrays* of **int**. Conceptually, this array will look like the one shown in Figure 3-1.

The following program numbers each element in the array from left to right, top to bottom, and then displays these values:

```

// Demonstrate a two-dimensional array.
class TwoDArray {
    public static void main(String args[]) {
        int twoD[][]= new int[4][5];
        int i, j, k = 0;

        for(i=0; i<4; i++)
            for(j=0; j<5; j++) {
                twoD[i][j] = k;
                k++;
            }

        for(i=0; i<4; i++) {
            for(j=0; j<5; j++)
                System.out.print(twoD[i][j] + " ");
            System.out.println();
        }
    }
}

```

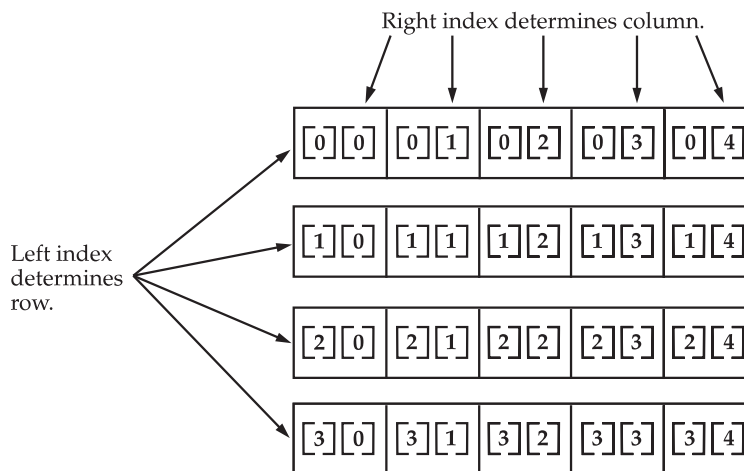
This program generates the following output:

```

0 1 2 3 4
5 6 7 8 9
10 11 12 13 14
15 16 17 18 19

```

When you allocate memory for a multidimensional array, you need only specify the memory for the first (leftmost) dimension. You can allocate the remaining dimensions



Given: `int twoD [] [] = new int [4] [5];`

FIGURE 3-1 A conceptual view of a 4 by 5, two-dimensional array

separately. For example, this following code allocates memory for the first dimension of **twoD** when it is declared. It allocates the second dimension manually.

```
int twoD[] [] = new int[4] [];
twoD[0] = new int[5];
twoD[1] = new int[5];
twoD[2] = new int[5];
twoD[3] = new int[5];
```

While there is no advantage to individually allocating the second dimension arrays in this situation, there may be in others. For example, when you allocate dimensions manually, you do not need to allocate the same number of elements for each dimension. As stated earlier, since multidimensional arrays are actually arrays of arrays, the length of each array is under your control. For example, the following program creates a two-dimensional array in which the sizes of the second dimension are unequal.

```
// Manually allocate differing size second dimensions.
class TwoDagain {
    public static void main(String args[]) {
        int twoD[] [] = new int[4] [];
        twoD[0] = new int[1];
        twoD[1] = new int[2];
        twoD[2] = new int[3];
        twoD[3] = new int[4];

        int i, j, k = 0;

        for(i=0; i<4; i++)
            for(j=0; j<i+1; j++) {
```

```

        twoD[i][j] = k;
        k++;
    }

    for(i=0; i<4; i++) {
        for(j=0; j<i+1; j++)
            System.out.print(twoD[i][j] + " ");
        System.out.println();
    }
}

```

This program generates the following output:

```

0
1 2
3 4 5
6 7 8 9

```

The array created by this program looks like this:

[0][0]			
[1][0]	[1][1]		
[2][0]	[2][1]	[2][2]	
[3][0]	[3][1]	[3][2]	[3][3]

The use of uneven (or, irregular) multidimensional arrays may not be appropriate for many applications, because it runs contrary to what people expect to find when a multidimensional array is encountered. However, irregular arrays can be used effectively in some situations. For example, if you need a very large two-dimensional array that is sparsely populated (that is, one in which not all of the elements will be used), then an irregular array might be a perfect solution.

It is possible to initialize multidimensional arrays. To do so, simply enclose each dimension's initializer within its own set of curly braces. The following program creates a matrix where each element contains the product of the row and column indexes. Also notice that you can use expressions as well as literal values inside of array initializers.

```

// Initialize a two-dimensional array.
class Matrix {
    public static void main(String args[]) {
        double m[][] = {
            { 0*0, 1*0, 2*0, 3*0 },
            { 0*1, 1*1, 2*1, 3*1 },
            { 0*2, 1*2, 2*2, 3*2 },
        };
    }
}

```

```

        { 0*3, 1*3, 2*3, 3*3 }
    };
    int i, j;

    for(i=0; i<4; i++) {
        for(j=0; j<4; j++)
            System.out.print(m[i][j] + " ");
        System.out.println();
    }
}
}

```

When you run this program, you will get the following output:

```

0.0  0.0  0.0  0.0
0.0  1.0  2.0  3.0
0.0  2.0  4.0  6.0
0.0  3.0  6.0  9.0

```

As you can see, each row in the array is initialized as specified in the initialization lists.

Let's look at one more example that uses a multidimensional array. The following program creates a 3 by 4 by 5, three-dimensional array. It then loads each element with the product of its indexes. Finally, it displays these products.

```

// Demonstrate a three-dimensional array.
class ThreeDMatrix {
    public static void main(String args[]) {
        int threeD[][][] = new int[3][4][5];
        int i, j, k;

        for(i=0; i<3; i++)
            for(j=0; j<4; j++)
                for(k=0; k<5; k++)
                    threeD[i][j][k] = i * j * k;

        for(i=0; i<3; i++) {
            for(j=0; j<4; j++) {
                for(k=0; k<5; k++)
                    System.out.print(threeD[i][j][k] + " ");
                System.out.println();
            }
            System.out.println();
        }
    }
}

```

This program generates the following output:

```

0 0 0 0 0
0 0 0 0 0
0 0 0 0 0
0 0 0 0 0

```



```
0 0 0 0 0
0 1 2 3 4
0 2 4 6 8
0 3 6 9 12

0 0 0 0 0
0 2 4 6 8
0 4 8 12 16
0 6 12 18 24
```

Alternative Array Declaration Syntax

There is a second form that may be used to declare an array:

```
type[ ] var-name;
```

Here, the square brackets follow the type specifier, and not the name of the array variable. For example, the following two declarations are equivalent:

```
int a1[] = new int [3];
int[] a2 = new int [3];
```

The following declarations are also equivalent:

```
char twod1[][] = new char [3] [4];
char[][] twod2 = new char [3] [4];
```

This alternative declaration form offers convenience when declaring several arrays at the same time. For example,

```
int[] nums, nums2, nums3; // create three arrays
```

creates three array variables of type **int**. It is the same as writing

```
int nums[], nums2[], nums3[]; // create three arrays
```

The alternative declaration form is also useful when specifying an array as a return type for a method. Both forms are used in this book.

A Few Words About Strings

As you may have noticed, in the preceding discussion of data types and arrays there has been no mention of strings or a string data type. This is not because Java does not support such a type—it does. It is just that Java’s string type, called **String**, is not a simple type. Nor is it simply an array of characters. Rather, **String** defines an object, and a full description of it requires an understanding of several object-related features. As such, it will be covered later in this book, after objects are described. However, so that you can use simple strings in example programs, the following brief introduction is in order.

The **String** type is used to declare string variables. You can also declare arrays of strings. A quoted string constant can be assigned to a **String** variable. A variable of type **String** can

be assigned to another variable of type **String**. You can use an object of type **String** as an argument to **println()**. For example, consider the following fragment:

```
String str = "this is a test";  
System.out.println(str);
```

Here, **str** is an object of type **String**. It is assigned the string “this is a test”. This string is displayed by the **println()** statement.

As you will see later, **String** objects have many special features and attributes that make them quite powerful and easy to use. However, for the next few chapters, you will be using them only in their simplest form.

A Note to C/C++ Programmers About Pointers

If you are an experienced C/C++ programmer, then you know that these languages provide support for pointers. However, no mention of pointers has been made in this chapter. The reason for this is simple: Java does not support or allow pointers. (Or more properly, Java does not support pointers that can be accessed and/or modified by the programmer.) Java cannot allow pointers, because doing so would allow Java programs to breach the firewall between the Java execution environment and the host computer. (Remember, a pointer can be given any address in memory—even addresses that might be outside the Java run-time system.) Since C/C++ make extensive use of pointers, you might be thinking that their loss is a significant disadvantage to Java. However, this is not true. Java is designed in such a way that as long as you stay within the confines of the execution environment, you will never need to use a pointer, nor would there be any benefit in using one.

4

CHAPTER

Operators

Java provides a rich operator environment. Most of its operators can be divided into the following four groups: arithmetic, bitwise, relational, and logical. Java also defines some additional operators that handle certain special situations. This chapter describes all of Java's operators except for the type comparison operator `instanceof`, which is examined in Chapter 13.

Arithmetic Operators

Arithmetic operators are used in mathematical expressions in the same way that they are used in algebra. The following table lists the arithmetic operators:

Operator	Result
+	Addition
-	Subtraction (also unary minus)
*	Multiplication
/	Division
%	Modulus
++	Increment
+=	Addition assignment
-=	Subtraction assignment
*=	Multiplication assignment
/=	Division assignment
%=	Modulus assignment
--	Decrement

The operands of the arithmetic operators must be of a numeric type. You cannot use them on `boolean` types, but you can use them on `char` types, since the `char` type in Java is, essentially, a subset of `int`.

The Basic Arithmetic Operators

The basic arithmetic operations—addition, subtraction, multiplication, and division— all behave as you would expect for all numeric types. The minus operator also has a unary form that negates its single operand. Remember that when the division operator is applied to an integer type, there will be no fractional component attached to the result.

The following simple example program demonstrates the arithmetic operators. It also illustrates the difference between floating-point division and integer division.

```
// Demonstrate the basic arithmetic operators.
class BasicMath {
    public static void main(String args[]) {
        // arithmetic using integers
        System.out.println("Integer Arithmetic");
        int a = 1 + 1;
        int b = a * 3;
        int c = b / 4;
        int d = c - a;
        int e = -d;
        System.out.println("a = " + a);
        System.out.println("b = " + b);
        System.out.println("c = " + c);
        System.out.println("d = " + d);
        System.out.println("e = " + e);

        // arithmetic using doubles
        System.out.println("\nFloating Point Arithmetic");
        double da = 1 + 1;
        double db = da * 3;
        double dc = db / 4;
        double dd = dc - a;
        double de = -dd;
        System.out.println("da = " + da);
        System.out.println("db = " + db);
        System.out.println("dc = " + dc);
        System.out.println("dd = " + dd);
        System.out.println("de = " + de);
    }
}
```

When you run this program, you will see the following output:

```
Integer Arithmetic
a = 2
b = 6
c = 1
d = -1
e = 1

Floating Point Arithmetic
da = 2.0
db = 6.0
```

```
dc = 1.5
dd = -0.5
de = 0.5
```

The Modulus Operator

The modulus operator, %, returns the remainder of a division operation. It can be applied to floating-point types as well as integer types. The following example program demonstrates the %:

```
// Demonstrate the % operator.
class Modulus {
    public static void main(String args[]) {
        int x = 42;
        double y = 42.25;

        System.out.println("x mod 10 = " + x % 10);
        System.out.println("y mod 10 = " + y % 10);
    }
}
```

When you run this program, you will get the following output:

```
x mod 10 = 2
y mod 10 = 2.25
```

Arithmetic Compound Assignment Operators

Java provides special operators that can be used to combine an arithmetic operation with an assignment. As you probably know, statements like the following are quite common in programming:

```
a = a + 4;
```

In Java, you can rewrite this statement as shown here:

```
a += 4;
```

This version uses the `+=` *compound assignment operator*. Both statements perform the same action: they increase the value of `a` by 4.

Here is another example,

```
a = a % 2;
```

which can be expressed as

```
a %= 2;
```

In this case, the `%=` obtains the remainder of `a/2` and puts that result back into `a`.

There are compound assignment operators for all of the arithmetic, binary operators. Thus, any statement of the form

```
var = var op expression;
```

can be rewritten as

```
var op= expression;
```

The compound assignment operators provide two benefits. First, they save you a bit of typing, because they are “shorthand” for their equivalent long forms. Second, they are implemented more efficiently by the Java run-time system than are their equivalent long forms. For these reasons, you will often see the compound assignment operators used in professionally written Java programs.

Here is a sample program that shows several *op=* assignments in action:

```
// Demonstrate several assignment operators.
class OpEquals {
    public static void main(String args[]) {
        int a = 1;
        int b = 2;
        int c = 3;

        a += 5;
        b *= 4;
        c += a * b;
        c %= 6;
        System.out.println("a = " + a);
        System.out.println("b = " + b);
        System.out.println("c = " + c);
    }
}
```

The output of this program is shown here:

```
a = 6
b = 8
c = 3
```

Increment and Decrement

The ++ and the -- are Java’s increment and decrement operators. They were introduced in Chapter 2. Here they will be discussed in detail. As you will see, they have some special properties that make them quite interesting. Let’s begin by reviewing precisely what the increment and decrement operators do.

The increment operator increases its operand by one. The decrement operator decreases its operand by one. For example, this statement:

```
x = x + 1;
```

can be rewritten like this by use of the increment operator:

```
x++;
```

Similarly, this statement:

```
x = x - 1;
```

is equivalent to

```
x--;
```

These operators are unique in that they can appear both in *postfix* form, where they follow the operand as just shown, and *prefix* form, where they precede the operand. In the foregoing examples, there is no difference between the prefix and postfix forms. However, when the increment and/or decrement operators are part of a larger expression, then a subtle, yet powerful, difference between these two forms appears. In the prefix form, the operand is incremented or decremented before the value is obtained for use in the expression. In postfix form, the previous value is obtained for use in the expression, and then the operand is modified. For example:

```
x = 42;
y = ++x;
```

In this case, `y` is set to 43 as you would expect, because the increment occurs *before* `x` is assigned to `y`. Thus, the line `y = ++x;` is the equivalent of these two statements:

```
x = x + 1;
y = x;
```

However, when written like this,

```
x = 42;
y = x++;
```

the value of `x` is obtained before the increment operator is executed, so the value of `y` is 42. Of course, in both cases `x` is set to 43. Here, the line `y = x++;` is the equivalent of these two statements:

```
y = x;
x = x + 1;
```

The following program demonstrates the increment operator.

```
// Demonstrate ++.
class IncDec {
    public static void main(String args[]) {
        int a = 1;
        int b = 2;
        int c;
        int d;
        c = ++b;
        d = a++;
        c++;
        System.out.println("a = " + a);
        System.out.println("b = " + b);
        System.out.println("c = " + c);
        System.out.println("d = " + d);
    }
}
```

The output of this program follows:

```
a = 2
b = 3
c = 4
d = 1
```

The Bitwise Operators

Java defines several *bitwise operators* that can be applied to the integer types, **long**, **int**, **short**, **char**, and **byte**. These operators act upon the individual bits of their operands. They are summarized in the following table:

Operator	Result
~	Bitwise unary NOT
&	Bitwise AND
	Bitwise OR
^	Bitwise exclusive OR
>>	Shift right
>>>	Shift right zero fill
<<	Shift left
&=	Bitwise AND assignment
=	Bitwise OR assignment
^=	Bitwise exclusive OR assignment
>>=	Shift right assignment
>>>=	Shift right zero fill assignment
<<=	Shift left assignment

Since the bitwise operators manipulate the bits within an integer, it is important to understand what effects such manipulations may have on a value. Specifically, it is useful to know how Java stores integer values and how it represents negative numbers. So, before continuing, let's briefly review these two topics.

All of the integer types are represented by binary numbers of varying bit widths. For example, the **byte** value for 42 in binary is 00101010, where each position represents a power of two, starting with 2^0 at the rightmost bit. The next bit position to the left would be 2^1 , or 2, continuing toward the left with 2^2 , or 4, then 8, 16, 32, and so on. So 42 has 1 bits set at positions 1, 3, and 5 (counting from 0 at the right); thus, 42 is the sum of $2^1 + 2^3 + 2^5$, which is $2 + 8 + 32$.

All of the integer types (except **char**) are signed integers. This means that they can represent negative values as well as positive ones. Java uses an encoding known as *two's complement*, which means that negative numbers are represented by inverting (changing 1's to 0's and vice versa) all of the bits in a value, then adding 1 to the result. For example, -42 is represented by inverting all of the bits in 42, or 00101010, which yields 11010101, then adding 1, which results in 11010110, or -42 . To decode a negative number, first invert all of the bits, then add 1. For example, -42 , or 11010110 inverted, yields 00101001, or 41, so when you add 1 you get 42.

The reason Java (and most other computer languages) uses two's complement is easy to see when you consider the issue of *zero crossing*. Assuming a **byte** value, zero is represented by 00000000. In one's complement, simply inverting all of the bits creates 11111111, which creates negative zero. The trouble is that negative zero is invalid in integer math. This problem is solved by using two's complement to represent negative values. When using two's complement, 1 is added to the complement, producing 100000000. This produces a 1 bit too far to the left to fit back into the **byte** value, resulting in the desired behavior, where -0 is the same as 0, and 11111111 is the encoding for -1 . Although we used a **byte** value in the preceding example, the same basic principle applies to all of Java's integer types.

Because Java uses two's complement to store negative numbers—and because all integers are signed values in Java—applying the bitwise operators can easily produce unexpected results. For example, turning on the high-order bit will cause the resulting value to be interpreted as a negative number, whether this is what you intended or not. To avoid unpleasant surprises, just remember that the high-order bit determines the sign of an integer no matter how that high-order bit gets set.

The Bitwise Logical Operators

The bitwise logical operators are $\&$, $|$, \wedge , and \sim . The following table shows the outcome of each operation. In the discussion that follows, keep in mind that the bitwise operators are applied to each individual bit within each operand.

A	B	A B	A & B	A ^ B	~A
0	0	0	0	0	1
1	0	1	0	1	0
0	1	1	0	1	1
1	1	1	1	0	0

The Bitwise NOT

Also called the *bitwise complement*, the unary NOT operator, \sim , inverts all of the bits of its operand. For example, the number 42, which has the following bit pattern:

```
00101010
```

becomes

```
11010101
```

after the NOT operator is applied.

The Bitwise AND

The AND operator, $\&$, produces a 1 bit if both operands are also 1. A zero is produced in all other cases. Here is an example:

```

00101010   42
& 00001111  15
-----
00001010   10

```

The Bitwise OR

The OR operator, `|`, combines bits such that if either of the bits in the operands is a 1, then the resultant bit is a 1, as shown here:

```

00101010   42
| 00001111   15
-----
00101111   47

```

The Bitwise XOR

The XOR operator, `^`, combines bits such that if exactly one operand is 1, then the result is 1. Otherwise, the result is zero. The following example shows the effect of the `^`. This example also demonstrates a useful attribute of the XOR operation. Notice how the bit pattern of 42 is inverted wherever the second operand has a 1 bit. Wherever the second operand has a 0 bit, the first operand is unchanged. You will find this property useful when performing some types of bit manipulations.

```

00101010   42
^ 00001111   15
-----
00100101   37

```

Using the Bitwise Logical Operators

The following program demonstrates the bitwise logical operators:

```

// Demonstrate the bitwise logical operators.
class BitLogic {
    public static void main(String args[]) {
        String binary[] = {
            "0000", "0001", "0010", "0011", "0100", "0101", "0110", "0111",
            "1000", "1001", "1010", "1011", "1100", "1101", "1110", "1111"
        };
        int a = 3; // 0 + 2 + 1 or 0011 in binary
        int b = 6; // 4 + 2 + 0 or 0110 in binary
        int c = a | b;
        int d = a & b;
        int e = a ^ b;
        int f = (~a & b) | (a & ~b);
        int g = ~a & 0x0f;

        System.out.println("        a = " + binary[a] );
        System.out.println("        b = " + binary[b] );
        System.out.println("        a|b = " + binary[c] );
        System.out.println("        a&b = " + binary[d] );
        System.out.println("        a^b = " + binary[e] );
        System.out.println("    ~a&b|a&~b = " + binary[f] );
        System.out.println("        ~a = " + binary[g] );
    }
}

```

In this example, **a** and **b** have bit patterns that present all four possibilities for two binary digits: 0-0, 0-1, 1-0, and 1-1. You can see how the **|** and **&** operate on each bit by the results in **c** and **d**. The values assigned to **e** and **f** are the same and illustrate how the **^** works. The string array named **binary** holds the human-readable, binary representation of the numbers 0 through 15. In this example, the array is indexed to show the binary representation of each result. The array is constructed such that the correct string representation of a binary value **n** is stored in **binary[n]**. The value of **~a** is ANDed with **0x0f** (0000 1111 in binary) in order to reduce its value to less than 16, so it can be printed by use of the **binary** array. Here is the output from this program:

```
a = 0011
b = 0110
a|b = 0111
a&b = 0010
a^b = 0101
~a&b|a&~b = 0101
~a = 1100
```

The Left Shift

The left shift operator, **<<**, shifts all of the bits in a value to the left a specified number of times. It has this general form:

```
value << num
```

Here, *num* specifies the number of positions to left-shift the value in *value*. That is, the **<<** moves all of the bits in the specified value to the left by the number of bit positions specified by *num*. For each shift left, the high-order bit is shifted out (and lost), and a zero is brought in on the right. This means that when a left shift is applied to an **int** operand, bits are lost once they are shifted past bit position 31. If the operand is a **long**, then bits are lost after bit position 63.

Java's automatic type promotions produce unexpected results when you are shifting **byte** and **short** values. As you know, **byte** and **short** values are promoted to **int** when an expression is evaluated. Furthermore, the result of such an expression is also an **int**. This means that the outcome of a left shift on a **byte** or **short** value will be an **int**, and the bits shifted left will not be lost until they shift past bit position 31. Furthermore, a negative **byte** or **short** value will be sign-extended when it is promoted to **int**. Thus, the high-order bits will be filled with 1's. For these reasons, to perform a left shift on a **byte** or **short** implies that you must discard the high-order bytes of the **int** result. For example, if you left-shift a **byte** value, that value will first be promoted to **int** and then shifted. This means that you must discard the top three bytes of the result if what you want is the result of a shifted **byte** value. The easiest way to do this is to simply cast the result back into a **byte**. The following program demonstrates this concept:

```
// Left shifting a byte value.
class ByteShift {
    public static void main(String args[]) {
        byte a = 64, b;
        int i;
```

```

    i = a << 2;
    b = (byte) (a << 2);

    System.out.println("Original value of a: " + a);
    System.out.println("i and b: " + i + " " + b);
}
}

```

The output generated by this program is shown here:

```

Original value of a: 64
i and b: 256 0

```

Since **a** is promoted to **int** for the purposes of evaluation, left-shifting the value 64 (0100 0000) twice results in **i** containing the value 256 (1 0000 0000). However, the value in **b** contains 0 because after the shift, the low-order byte is now zero. Its only 1 bit has been shifted out.

Since each left shift has the effect of doubling the original value, programmers frequently use this fact as an efficient alternative to multiplying by 2. But you need to watch out. If you shift a 1 bit into the high-order position (bit 31 or 63), the value will become negative. The following program illustrates this point:

```

// Left shifting as a quick way to multiply by 2.
class MultByTwo {
    public static void main(String args[]) {
        int i;
        int num = 0xFFFFFFFF;

        for(i=0; i<4; i++) {
            num = num << 1;
            System.out.println(num);
        }
    }
}

```

The program generates the following output:

```

536870908
1073741816
2147483632
-32

```

The starting value was carefully chosen so that after being shifted left 4 bit positions, it would produce -32. As you can see, when a 1 bit is shifted into bit 31, the number is interpreted as negative.

The Right Shift

The right shift operator, **>>**, shifts all of the bits in a value to the right a specified number of times. Its general form is shown here:

```
value >> num
```

Here, *num* specifies the number of positions to right-shift the value in *value*. That is, the `>>` moves all of the bits in the specified value to the right the number of bit positions specified by *num*.

The following code fragment shifts the value 32 to the right by two positions, resulting in `a` being set to 8:

```
int a = 32;
a = a >> 2; // a now contains 8
```

When a value has bits that are “shifted off,” those bits are lost. For example, the next code fragment shifts the value 35 to the right two positions, which causes the two low-order bits to be lost, resulting again in `a` being set to 8.

```
int a = 35;
a = a >> 2; // a still contains 8
```

Looking at the same operation in binary shows more clearly how this happens:

```
00100011    35
>> 2
00001000    8
```

Each time you shift a value to the right, it divides that value by two—and discards any remainder. You can take advantage of this for high-performance integer division by 2. Of course, you must be sure that you are not shifting any bits off the right end.

When you are shifting right, the top (leftmost) bits exposed by the right shift are filled in with the previous contents of the top bit. This is called *sign extension* and serves to preserve the sign of negative numbers when you shift them right. For example, `-8 >> 1` is `-4`, which, in binary, is

```
11111000    -8
>>1
11111100    -4
```

It is interesting to note that if you shift `-1` right, the result always remains `-1`, since sign extension keeps bringing in more ones in the high-order bits.

Sometimes it is not desirable to sign-extend values when you are shifting them to the right. For example, the following program converts a **byte** value to its hexadecimal string representation. Notice that the shifted value is masked by ANDing it with `0x0f` to discard any sign-extended bits so that the value can be used as an index into the array of hexadecimal characters.

```
// Masking sign extension.
class HexByte {
    static public void main(String args[]) {
        char hex[] = {
            '0', '1', '2', '3', '4', '5', '6', '7',
            '8', '9', 'a', 'b', 'c', 'd', 'e', 'f'
        };
    };
};
```

```

    byte b = (byte) 0xf1;

    System.out.println("b = 0x" + hex[(b >> 4) & 0x0f] + hex[b & 0x0f]);
}
}

```

Here is the output of this program:

```
b = 0xf1
```

The Unsigned Right Shift

As you have just seen, the `>>` operator automatically fills the high-order bit with its previous contents each time a shift occurs. This preserves the sign of the value. However, sometimes this is undesirable. For example, if you are shifting something that does not represent a numeric value, you may not want sign extension to take place. This situation is common when you are working with pixel-based values and graphics. In these cases, you will generally want to shift a zero into the high-order bit no matter what its initial value was. This is known as an *unsigned shift*. To accomplish this, you will use Java's unsigned, shift-right operator, `>>>`, which always shifts zeros into the high-order bit.

The following code fragment demonstrates the `>>>`. Here, `a` is set to `-1`, which sets all 32 bits to 1 in binary. This value is then shifted right 24 bits, filling the top 24 bits with zeros, ignoring normal sign extension. This sets `a` to 255.

```

int a = -1;
a = a >>> 24;

```

Here is the same operation in binary form to further illustrate what is happening:

```

11111111 11111111 11111111 11111111   -1 in binary as an int
>>>24
00000000 00000000 00000000 11111111   255 in binary as an int

```

The `>>>` operator is often not as useful as you might like, since it is only meaningful for 32- and 64-bit values. Remember, smaller values are automatically promoted to `int` in expressions. This means that sign-extension occurs and that the shift will take place on a 32-bit rather than on an 8- or 16-bit value. That is, one might expect an unsigned right shift on a `byte` value to zero-fill beginning at bit 7. But this is not the case, since it is a 32-bit value that is actually being shifted. The following program demonstrates this effect:

```

// Unsigned shifting a byte value.
class ByteUShift {
    static public void main(String args[]) {
        char hex[] = {
            '0', '1', '2', '3', '4', '5', '6', '7',
            '8', '9', 'a', 'b', 'c', 'd', 'e', 'f'
        };
        byte b = (byte) 0xf1;
        byte c = (byte) (b >> 4);
        byte d = (byte) (b >>> 4);
        byte e = (byte) ((b & 0xff) >> 4);
    }
}

```

```

System.out.println("          b = 0x"
+ hex[(b >> 4) & 0x0f] + hex[b & 0x0f]);
System.out.println("          b >> 4 = 0x"
+ hex[(c >> 4) & 0x0f] + hex[c & 0x0f]);
System.out.println("          b >>> 4 = 0x"
+ hex[(d >> 4) & 0x0f] + hex[d & 0x0f]);
System.out.println("(b & 0xff) >> 4 = 0x"
+ hex[(e >> 4) & 0x0f] + hex[e & 0x0f]);
}
}

```

The following output of this program shows how the `>>>` operator appears to do nothing when dealing with bytes. The variable `b` is set to an arbitrary negative **byte** value for this demonstration. Then `c` is assigned the **byte** value of `b` shifted right by four, which is `0xff` because of the expected sign extension. Then `d` is assigned the **byte** value of `b` unsigned shifted right by four, which you might have expected to be `0x0f`, but is actually `0xff` because of the sign extension that happened when `b` was promoted to **int** before the shift. The last expression sets `e` to the **byte** value of `b` masked to 8 bits using the AND operator, then shifted right by four, which produces the expected value of `0x0f`. Notice that the unsigned shift right operator was not used for `d`, since the state of the sign bit after the AND was known.

```

          b = 0xf1
          b >> 4 = 0xff
          b >>> 4 = 0xff
(b & 0xff) >> 4 = 0x0f

```

Bitwise Operator Compound Assignments

All of the binary bitwise operators have a compound form similar to that of the algebraic operators, which combines the assignment with the bitwise operation. For example, the following two statements, which shift the value in `a` right by four bits, are equivalent:

```

a = a >> 4;
a >>= 4;

```

Likewise, the following two statements, which result in `a` being assigned the bitwise expression `a OR b`, are equivalent:

```

a = a | b;
a |= b;

```

The following program creates a few integer variables and then uses compound bitwise operator assignments to manipulate the variables:

```

class OpBitEquals {
    public static void main(String args[]) {
        int a = 1;
        int b = 2;
        int c = 3;

        a |= 4;
        b >>= 1;
    }
}

```

```

    c <= 1;
    a ^= c;
    System.out.println("a = " + a);
    System.out.println("b = " + b);
    System.out.println("c = " + c);
}
}

```

The output of this program is shown here:

```

a = 3
b = 1
c = 6

```

Relational Operators

The *relational operators* determine the relationship that one operand has to the other. Specifically, they determine equality and ordering. The relational operators are shown here:

Operator	Result
==	Equal to
!=	Not equal to
>	Greater than
<	Less than
>=	Greater than or equal to
<=	Less than or equal to

The outcome of these operations is a **boolean** value. The relational operators are most frequently used in the expressions that control the **if** statement and the various loop statements.

Any type in Java, including integers, floating-point numbers, characters, and Booleans can be compared using the equality test, `==`, and the inequality test, `!=`. Notice that in Java equality is denoted with two equal signs, not one. (Remember: a single equal sign is the assignment operator.) Only numeric types can be compared using the ordering operators. That is, only integer, floating-point, and character operands may be compared to see which is greater or less than the other.

As stated, the result produced by a relational operator is a **boolean** value. For example, the following code fragment is perfectly valid:

```

int a = 4;
int b = 1;
boolean c = a < b;

```

In this case, the result of `a < b` (which is **false**) is stored in `c`.

If you are coming from a C/C++ background, please note the following. In C/C++, these types of statements are very common:


```
int done;
// ...
if(!done) ... // Valid in C/C++
if(done) ... // but not in Java.
```

In Java, these statements must be written like this:

```
if(done == 0) ... // This is Java-style.
if(done != 0) ...
```

The reason is that Java does not define true and false in the same way as C/C++. In C/C++, true is any nonzero value and false is zero. In Java, **true** and **false** are nonnumeric values that do not relate to zero or nonzero. Therefore, to test for zero or nonzero, you must explicitly employ one or more of the relational operators.

Boolean Logical Operators

The Boolean logical operators shown here operate only on **boolean** operands. All of the binary logical operators combine two **boolean** values to form a resultant **boolean** value.

Operator	Result
&	Logical AND
	Logical OR
^	Logical XOR (exclusive OR)
	Short-circuit OR
&&	Short-circuit AND
!	Logical unary NOT
&=	AND assignment
=	OR assignment
^=	XOR assignment
==	Equal to
!=	Not equal to
?:	Ternary if-then-else

The logical Boolean operators, **&**, **|**, and **^**, operate on **boolean** values in the same way that they operate on the bits of an integer. The logical **!** operator inverts the Boolean state: **!true == false** and **!false == true**. The following table shows the effect of each logical operation:

A	B	A B	A & B	A ^ B	!A
False	False	False	False	False	True
True	False	True	False	True	False
False	True	True	False	True	True
True	True	True	True	False	False

Here is a program that is almost the same as the **BitLogic** example shown earlier, but it operates on **boolean** logical values instead of binary bits:

```
// Demonstrate the boolean logical operators.
class BoolLogic {
    public static void main(String args[]) {
        boolean a = true;
        boolean b = false;
        boolean c = a | b;
        boolean d = a & b;
        boolean e = a ^ b;
        boolean f = (!a & b) | (a & !b);
        boolean g = !a;
        System.out.println("    a = " + a);
        System.out.println("    b = " + b);
        System.out.println("    a|b = " + c);
        System.out.println("    a&b = " + d);
        System.out.println("    a^b = " + e);
        System.out.println("!a&b|a&!b = " + f);
        System.out.println("    !a = " + g);
    }
}
```

After running this program, you will see that the same logical rules apply to **boolean** values as they did to bits. As you can see from the following output, the string representation of a Java **boolean** value is one of the literal values **true** or **false**:

```
    a = true
    b = false
    a|b = true
    a&b = false
    a^b = true
    a&b|a&!b = true
    !a = false
```

Short-Circuit Logical Operators

Java provides two interesting Boolean operators not found in many other computer languages. These are secondary versions of the Boolean AND and OR operators, and are known as *short-circuit* logical operators. As you can see from the preceding table, the OR operator results in **true** when **A** is **true**, no matter what **B** is. Similarly, the AND operator results in **false** when **A** is **false**, no matter what **B** is. If you use the **||** and **&&** forms, rather than the **|** and **&** forms of these operators, Java will not bother to evaluate the right-hand operand when the outcome of the expression can be determined by the left operand alone. This is very useful when the right-hand operand depends on the value of the left one in order to function properly. For example, the following code fragment shows how you can take advantage of short-circuit logical evaluation to be sure that a division operation will be valid before evaluating it:

```
if (denom != 0 && num / denom > 10)
```

Since the short-circuit form of AND (**&&**) is used, there is no risk of causing a run-time exception when **denom** is zero. If this line of code were written using the single **&** version of AND, both sides would be evaluated, causing a run-time exception when **denom** is zero.

It is standard practice to use the short-circuit forms of AND and OR in cases involving Boolean logic, leaving the single-character versions exclusively for bitwise operations. However, there are exceptions to this rule. For example, consider the following statement:

```
if(c==1 & e++ < 100) d = 100;
```

Here, using a single **&** ensures that the increment operation will be applied to **e** whether **c** is equal to 1 or not.

The Assignment Operator

You have been using the assignment operator since Chapter 2. Now it is time to take a formal look at it. The *assignment operator* is the single equal sign, **=**. The assignment operator works in Java much as it does in any other computer language. It has this general form:

```
var = expression;
```

Here, the type of *var* must be compatible with the type of *expression*.

The assignment operator does have one interesting attribute that you may not be familiar with: it allows you to create a chain of assignments. For example, consider this fragment:

```
int x, y, z;  
x = y = z = 100; // set x, y, and z to 100
```

This fragment sets the variables **x**, **y**, and **z** to 100 using a single statement. This works because the **=** is an operator that yields the value of the right-hand expression. Thus, the value of **z = 100** is 100, which is then assigned to **y**, which in turn is assigned to **x**. Using a “chain of assignment” is an easy way to set a group of variables to a common value.

The ? Operator

Java includes a special *ternary* (three-way) *operator* that can replace certain types of if-then-else statements. This operator is the **?**. It can seem somewhat confusing at first, but the **?** can be used very effectively once mastered. The **?** has this general form:

```
expression1 ? expression2 : expression3
```

Here, *expression1* can be any expression that evaluates to a **boolean** value. If *expression1* is **true**, then *expression2* is evaluated; otherwise, *expression3* is evaluated. The result of the **?** operation is that of the expression evaluated. Both *expression2* and *expression3* are required to return the same type, which can't be **void**.

Here is an example of the way that the **?** is employed:

```
ratio = denom == 0 ? 0 : num / denom;
```

When Java evaluates this assignment expression, it first looks at the expression to the *left* of the question mark. If **denom** equals zero, then the expression *between* the question mark and the colon is evaluated and used as the value of the entire ? expression. If **denom** does not equal zero, then the expression *after* the colon is evaluated and used for the value of the entire ? expression. The result produced by the ? operator is then assigned to **ratio**.

Here is a program that demonstrates the ? operator. It uses it to obtain the absolute value of a variable.

```
// Demonstrate ?.
class Ternary {
    public static void main(String args[]) {
        int i, k;

        i = 10;
        k = i < 0 ? -i : i; // get absolute value of i
        System.out.print("Absolute value of ");
        System.out.println(i + " is " + k);

        i = -10;
        k = i < 0 ? -i : i; // get absolute value of i
        System.out.print("Absolute value of ");
        System.out.println(i + " is " + k);
    }
}
```

The output generated by the program is shown here:

```
Absolute value of 10 is 10
Absolute value of -10 is 10
```

Operator Precedence

Table 4-1 shows the order of precedence for Java operators, from highest to lowest. Notice that the first row shows items that you may not normally think of as operators: parentheses, square brackets, and the dot operator. Technically, these are called *separators*, but they act like operators in an expression. Parentheses are used to alter the precedence of an operation. As you know from the previous chapter, the square brackets provide array indexing. The dot operator is used to dereference objects and will be discussed later in this book.

Using Parentheses

Parentheses raise the precedence of the operations that are inside them. This is often necessary to obtain the result you desire. For example, consider the following expression:

```
a >> b + 3
```

This expression first adds 3 to **b** and then shifts **a** right by that result. That is, this expression can be rewritten using redundant parentheses like this:

```
a >> (b + 3)
```

TABLE 4-1
The Precedence of
the Java Operators

Highest			
()	[]	.	
++	--	~	!
*	/	%	
+	-		
>>	>>>	<<	
>	>=	<	<=
==	!=		
&			
^			
&&			
?:			
=	op=		
Lowest			

However, if you want to first shift **a** right by **b** positions and then add 3 to that result, you will need to parenthesize the expression like this:

```
(a >> b) + 3
```

In addition to altering the normal precedence of an operator, parentheses can sometimes be used to help clarify the meaning of an expression. For anyone reading your code, a complicated expression can be difficult to understand. Adding redundant but clarifying parentheses to complex expressions can help prevent confusion later. For example, which of the following expressions is easier to read?

```
a | 4 + c >> b & 7
(a | (((4 + c) >> b) & 7))
```

One other point: parentheses (redundant or not) do not degrade the performance of your program. Therefore, adding parentheses to reduce ambiguity does not negatively affect your program.

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Control Statements

A programming language uses *control* statements to cause the flow of execution to advance and branch based on changes to the state of a program. Java's program control statements can be put into the following categories: selection, iteration, and jump. *Selection* statements allow your program to choose different paths of execution based upon the outcome of an expression or the state of a variable. *Iteration* statements enable program execution to repeat one or more statements (that is, iteration statements form loops). *Jump* statements allow your program to execute in a nonlinear fashion. All of Java's control statements are examined here.

Java's Selection Statements

Java supports two selection statements: **if** and **switch**. These statements allow you to control the flow of your program's execution based upon conditions known only during run time. You will be pleasantly surprised by the power and flexibility contained in these two statements.

if

The **if** statement was introduced in Chapter 2. It is examined in detail here. The **if** statement is Java's conditional branch statement. It can be used to route program execution through two different paths. Here is the general form of the **if** statement:

```
if (condition) statement1;  
else statement2;
```

Here, each *statement* may be a single statement or a compound statement enclosed in curly braces (that is, a *block*). The *condition* is any expression that returns a **boolean** value. The **else** clause is optional.

The **if** works like this: If the *condition* is true, then *statement1* is executed. Otherwise, *statement2* (if it exists) is executed. In no case will both statements be executed. For example, consider the following:

```
int a, b;  
// ...  
if(a < b) a = 0;  
else b = 0;
```

Here, if **a** is less than **b**, then **a** is set to zero. Otherwise, **b** is set to zero. In no case are they both set to zero.

Most often, the expression used to control the **if** will involve the relational operators. However, this is not technically necessary. It is possible to control the **if** using a single **boolean** variable, as shown in this code fragment:

```
boolean dataAvailable;
// ...
if (dataAvailable)
    processData();
else
    waitForMoreData();
```

Remember, only one statement can appear directly after the **if** or the **else**. If you want to include more statements, you'll need to create a block, as in this fragment:

```
int bytesAvailable;
// ...
if (bytesAvailable > 0) {
    processData();
    bytesAvailable -= n;
} else
    waitForMoreData();
```

Here, both statements within the **if** block will execute if **bytesAvailable** is greater than zero.

Some programmers find it convenient to include the curly braces when using the **if**, even when there is only one statement in each clause. This makes it easy to add another statement at a later date, and you don't have to worry about forgetting the braces. In fact, forgetting to define a block when one is needed is a common cause of errors. For example, consider the following code fragment:

```
int bytesAvailable;
// ...
if (bytesAvailable > 0) {
    processData();
    bytesAvailable -= n;
} else
    waitForMoreData();
    bytesAvailable = n;
```

It seems clear that the statement **bytesAvailable = n;** was intended to be executed inside the **else** clause, because of the indentation level. However, as you recall, whitespace is insignificant to Java, and there is no way for the compiler to know what was intended. This code will compile without complaint, but it will behave incorrectly when run. The preceding example is fixed in the code that follows:

```
int bytesAvailable;
// ...
```



```

if (bytesAvailable > 0) {
    processData();
    bytesAvailable -= n;
} else {
    waitForMoreData();
    bytesAvailable = n;
}

```

Nested ifs

A *nested if* is an **if** statement that is the target of another **if** or **else**. Nested ifs are very common in programming. When you nest ifs, the main thing to remember is that an **else** statement always refers to the nearest **if** statement that is within the same block as the **else** and that is not already associated with an **else**. Here is an example:

```

if(i == 10) {
    if(j < 20) a = b;
    if(k > 100) c = d; // this if is
    else a = c;       // associated with this else
}
else a = d;          // this else refers to if(i == 10)

```

As the comments indicate, the final **else** is not associated with **if(j<20)** because it is not in the same block (even though it is the nearest **if** without an **else**). Rather, the final **else** is associated with **if(i==10)**. The inner **else** refers to **if(k>100)** because it is the closest **if** within the same block.

The if-else-if Ladder

A common programming construct that is based upon a sequence of nested ifs is the *if-else-if ladder*. It looks like this:

```

if(condition)
    statement;
else if(condition)
    statement;
else if(condition)
    statement;
.
.
.
else
    statement;

```

The **if** statements are executed from the top down. As soon as one of the conditions controlling the **if** is **true**, the statement associated with that **if** is executed, and the rest of the ladder is bypassed. If none of the conditions is true, then the final **else** statement will be executed. The final **else** acts as a default condition; that is, if all other conditional tests fail, then the

last **else** statement is performed. If there is no final **else** and all other conditions are **false**, then no action will take place.

Here is a program that uses an **if-else-if** ladder to determine which season a particular month is in.

```
// Demonstrate if-else-if statements.
class IfElse {
    public static void main(String args[]) {
        int month = 4; // April
        String season;

        if(month == 12 || month == 1 || month == 2)
            season = "Winter";
        else if(month == 3 || month == 4 || month == 5)
            season = "Spring";
        else if(month == 6 || month == 7 || month == 8)
            season = "Summer";
        else if(month == 9 || month == 10 || month == 11)
            season = "Autumn";
        else
            season = "Bogus Month";

        System.out.println("April is in the " + season + ".");
    }
}
```

Here is the output produced by the program:

```
April is in the Spring.
```

You might want to experiment with this program before moving on. As you will find, no matter what value you give **month**, one and only one assignment statement within the ladder will be executed.

switch

The **switch** statement is Java's multiway branch statement. It provides an easy way to dispatch execution to different parts of your code based on the value of an expression. As such, it often provides a better alternative than a large series of **if-else-if** statements. Here is the general form of a **switch** statement:

```
switch (expression) {
    case value1:
        // statement sequence
        break;
    case value2:
        // statement sequence
        break;
    .
    .
    .
    case valueN:
```

```
    // statement sequence
    break;
default:
    // default statement sequence
}
```

The *expression* must be of type **byte**, **short**, **int**, or **char**; each of the *values* specified in the **case** statements must be of a type compatible with the expression. (An enumeration value can also be used to control a **switch** statement. Enumerations are described in Chapter 12.) Each **case** value must be a unique literal (that is, it must be a constant, not a variable). Duplicate **case** values are not allowed.

The **switch** statement works like this: The value of the expression is compared with each of the literal values in the **case** statements. If a match is found, the code sequence following that **case** statement is executed. If none of the constants matches the value of the expression, then the **default** statement is executed. However, the **default** statement is optional. If no **case** matches and no **default** is present, then no further action is taken.

The **break** statement is used inside the **switch** to terminate a statement sequence. When a **break** statement is encountered, execution branches to the first line of code that follows the entire **switch** statement. This has the effect of “jumping out” of the **switch**.

Here is a simple example that uses a **switch** statement:

```
// A simple example of the switch.
class SampleSwitch {
    public static void main(String args[]) {
        for(int i=0; i<6; i++)
            switch(i) {
                case 0:
                    System.out.println("i is zero.");
                    break;
                case 1:
                    System.out.println("i is one.");
                    break;
                case 2:
                    System.out.println("i is two.");
                    break;
                case 3:
                    System.out.println("i is three.");
                    break;
                default:
                    System.out.println("i is greater than 3.");
            }
    }
}
```

The output produced by this program is shown here:

```
i is zero.
i is one.
i is two.
i is three.
i is greater than 3.
i is greater than 3.
```

As you can see, each time through the loop, the statements associated with the **case** constant that matches *i* are executed. All others are bypassed. After *i* is greater than 3, no **case** statements match, so the **default** statement is executed.

The **break** statement is optional. If you omit the **break**, execution will continue on into the next **case**. It is sometimes desirable to have multiple **cases** without **break** statements between them. For example, consider the following program:

```
// In a switch, break statements are optional.
class MissingBreak {
    public static void main(String args[]) {
        for(int i=0; i<12; i++)
            switch(i) {
                case 0:
                case 1:
                case 2:
                case 3:
                case 4:
                    System.out.println("i is less than 5");
                    break;
                case 5:
                case 6:
                case 7:
                case 8:
                case 9:
                    System.out.println("i is less than 10");
                    break;
                default:
                    System.out.println("i is 10 or more");
            }
        }
    }
}
```

This program generates the following output:

```
i is less than 5
i is less than 5
i is less than 5
i is less than 5
i is less than 5
i is less than 10
i is less than 10
i is less than 10
i is less than 10
i is less than 10
i is 10 or more
i is 10 or more
```

As you can see, execution falls through each **case** until a **break** statement (or the end of the **switch**) is reached.

While the preceding example is, of course, contrived for the sake of illustration, omitting the **break** statement has many practical applications in real programs. To sample its more realistic usage, consider the following rewrite of the season example shown earlier. This version uses a **switch** to provide a more efficient implementation.

```
// An improved version of the season program.
class Switch {
    public static void main(String args[]) {
        int month = 4;
        String season;
        switch (month) {
            case 12:
            case 1:
            case 2:
                season = "Winter";
                break;
            case 3:
            case 4:
            case 5:
                season = "Spring";
                break;
            case 6:
            case 7:
            case 8:
                season = "Summer";
                break;
            case 9:
            case 10:
            case 11:
                season = "Autumn";
                break;
            default:
                season = "Bogus Month";
        }
        System.out.println("April is in the " + season + ".");
    }
}
```

Nested switch Statements

You can use a **switch** as part of the statement sequence of an outer **switch**. This is called a *nested switch*. Since a **switch** statement defines its own block, no conflicts arise between the **case** constants in the inner **switch** and those in the outer **switch**. For example, the following fragment is perfectly valid:

```
switch(count) {
    case 1:
        switch(target) { // nested switch
            case 0:
                System.out.println("target is zero");
                break;
        }
}
```

```

        case 1: // no conflicts with outer switch
            System.out.println("target is one");
            break;
    }
    break;
case 2: // ...

```

Here, the **case 1:** statement in the inner switch does not conflict with the **case 1:** statement in the outer switch. The **count** variable is only compared with the list of cases at the outer level. If **count** is 1, then **target** is compared with the inner list cases.

In summary, there are three important features of the **switch** statement to note:

- The **switch** differs from the **if** in that **switch** can only test for equality, whereas **if** can evaluate any type of Boolean expression. That is, the **switch** looks only for a match between the value of the expression and one of its **case** constants.
- No two **case** constants in the same **switch** can have identical values. Of course, a **switch** statement and an enclosing outer **switch** can have **case** constants in common.
- A **switch** statement is usually more efficient than a set of nested **ifs**.

The last point is particularly interesting because it gives insight into how the Java compiler works. When it compiles a **switch** statement, the Java compiler will inspect each of the **case** constants and create a “jump table” that it will use for selecting the path of execution depending on the value of the expression. Therefore, if you need to select among a large group of values, a **switch** statement will run much faster than the equivalent logic coded using a sequence of **if-elses**. The compiler can do this because it knows that the **case** constants are all the same type and simply must be compared for equality with the **switch** expression. The compiler has no such knowledge of a long list of **if** expressions.

Iteration Statements

Java’s iteration statements are **for**, **while**, and **do-while**. These statements create what we commonly call *loops*. As you probably know, a loop repeatedly executes the same set of instructions until a termination condition is met. As you will see, Java has a loop to fit any programming need.

while

The **while** loop is Java’s most fundamental loop statement. It repeats a statement or block while its controlling expression is true. Here is its general form:

```

while(condition) {
    // body of loop
}

```

The *condition* can be any Boolean expression. The body of the loop will be executed as long as the conditional expression is true. When *condition* becomes false, control passes to the next line of code immediately following the loop. The curly braces are unnecessary if only a single statement is being repeated.

Here is a **while** loop that counts down from 10, printing exactly ten lines of “tick”:

```
// Demonstrate the while loop.
class While {
    public static void main(String args[]) {
        int n = 10;

        while(n > 0) {
            System.out.println("tick " + n);
            n--;
        }
    }
}
```

When you run this program, it will “tick” ten times:

```
tick 10
tick 9
tick 8
tick 7
tick 6
tick 5
tick 4
tick 3
tick 2
tick 1
```

Since the **while** loop evaluates its conditional expression at the top of the loop, the body of the loop will not execute even once if the condition is false to begin with. For example, in the following fragment, the call to **println()** is never executed:

```
int a = 10, b = 20;

while(a > b)
    System.out.println("This will not be displayed");
```

The body of the **while** (or any other of Java’s loops) can be empty. This is because a *null statement* (one that consists only of a semicolon) is syntactically valid in Java. For example, consider the following program:

```
// The target of a loop can be empty.
class NoBody {
    public static void main(String args[]) {
        int i, j;

        i = 100;
        j = 200;

        // find midpoint between i and j
        while(++i < --j) ; // no body in this loop
```

```

        System.out.println("Midpoint is " + i);
    }
}

```

This program finds the midpoint between *i* and *j*. It generates the following output:

```
Midpoint is 150
```

Here is how this **while** loop works. The value of *i* is incremented, and the value of *j* is decremented. These values are then compared with one another. If the new value of *i* is still less than the new value of *j*, then the loop repeats. If *i* is equal to or greater than *j*, the loop stops. Upon exit from the loop, *i* will hold a value that is midway between the original values of *i* and *j*. (Of course, this procedure only works when *i* is less than *j* to begin with.) As you can see, there is no need for a loop body; all of the action occurs within the conditional expression, itself. In professionally written Java code, short loops are frequently coded without bodies when the controlling expression can handle all of the details itself.

do-while

As you just saw, if the conditional expression controlling a **while** loop is initially false, then the body of the loop will not be executed at all. However, sometimes it is desirable to execute the body of a loop at least once, even if the conditional expression is false to begin with. In other words, there are times when you would like to test the termination expression at the end of the loop rather than at the beginning. Fortunately, Java supplies a loop that does just that: the **do-while**. The **do-while** loop always executes its body at least once, because its conditional expression is at the bottom of the loop. Its general form is

```

do {
    // body of loop
} while (condition);

```

Each iteration of the **do-while** loop first executes the body of the loop and then evaluates the conditional expression. If this expression is true, the loop will repeat. Otherwise, the loop terminates. As with all of Java's loops, *condition* must be a Boolean expression.

Here is a reworked version of the "tick" program that demonstrates the **do-while** loop. It generates the same output as before.

```

// Demonstrate the do-while loop.
class DoWhile {
    public static void main(String args[]) {
        int n = 10;

        do {
            System.out.println("tick " + n);
            n--;
        } while(n > 0);
    }
}

```

The loop in the preceding program, while technically correct, can be written more efficiently as follows:


```
do {
    System.out.println("tick " + n);
} while(--n > 0);
```

In this example, the expression ($--n > 0$) combines the decrement of `n` and the test for zero into one expression. Here is how it works. First, the `--n` statement executes, decrementing `n` and returning the new value of `n`. This value is then compared with zero. If it is greater than zero, the loop continues; otherwise it terminates.

The **do-while** loop is especially useful when you process a menu selection, because you will usually want the body of a menu loop to execute at least once. Consider the following program, which implements a very simple help system for Java's selection and iteration statements:

```
// Using a do-while to process a menu selection
class Menu {
    public static void main(String args[])
        throws java.io.IOException {
        char choice;

        do {
            System.out.println("Help on:");
            System.out.println(" 1. if");
            System.out.println(" 2. switch");
            System.out.println(" 3. while");
            System.out.println(" 4. do-while");
            System.out.println(" 5. for\n");
            System.out.println("Choose one:");
            choice = (char) System.in.read();
        } while( choice < '1' || choice > '5');

        System.out.println("\n");

        switch(choice) {
            case '1':
                System.out.println("The if:\n");
                System.out.println("if(condition) statement;");
                System.out.println("else statement;");
                break;
            case '2':
                System.out.println("The switch:\n");
                System.out.println("switch(expression) {");
                System.out.println(" case constant:");
                System.out.println(" statement sequence");
                System.out.println(" break;");
                System.out.println(" // ...");
                System.out.println("}");
                break;
            case '3':
                System.out.println("The while:\n");
                System.out.println("while(condition) statement;");
                break;
            case '4':
```

```

        System.out.println("The do-while:\n");
        System.out.println("do {");
        System.out.println("    statement;");
        System.out.println("} while (condition);");
        break;
    case '5':
        System.out.println("The for:\n");
        System.out.print("for(init; condition; iteration)");
        System.out.println("    statement;");
        break;
    }
}
}

```

Here is a sample run produced by this program:

```

Help on:
1. if
2. switch
3. while
4. do-while
5. for
Choose one:
4
The do-while:
do {
    statement;
} while (condition);

```

In the program, the **do-while** loop is used to verify that the user has entered a valid choice. If not, then the user is reprompted. Since the menu must be displayed at least once, the **do-while** is the perfect loop to accomplish this.

A few other points about this example: Notice that characters are read from the keyboard by calling **System.in.read()**. This is one of Java's console input functions. Although Java's console I/O methods won't be discussed in detail until Chapter 13, **System.in.read()** is used here to obtain the user's choice. It reads characters from standard input (returned as integers, which is why the return value was cast to **char**). By default, standard input is line buffered, so you must press ENTER before any characters that you type will be sent to your program.

Java's console input can be a bit awkward to work with. Further, most real-world Java programs will be graphical and window-based. For these reasons, not much use of console input has been made in this book. However, it is useful in this context. One other point to consider: Because **System.in.read()** is being used, the program must specify the **throws java.io.IOException** clause. This line is necessary to handle input errors. It is part of Java's exception handling features, which are discussed in Chapter 10.

for

You were introduced to a simple form of the **for** loop in Chapter 2. As you will see, it is a powerful and versatile construct.

Beginning with JDK 5, there are two forms of the **for** loop. The first is the traditional form that has been in use since the original version of Java. The second is the new “for-each” form. Both types of **for** loops are discussed here, beginning with the traditional form.

Here is the general form of the traditional **for** statement:

```
for(initialization; condition; iteration) {  
    // body  
}
```

If only one statement is being repeated, there is no need for the curly braces.

The **for** loop operates as follows. When the loop first starts, the *initialization* portion of the loop is executed. Generally, this is an expression that sets the value of the *loop control variable*, which acts as a counter that controls the loop. It is important to understand that the initialization expression is only executed once. Next, *condition* is evaluated. This must be a Boolean expression. It usually tests the loop control variable against a target value. If this expression is true, then the body of the loop is executed. If it is false, the loop terminates. Next, the *iteration* portion of the loop is executed. This is usually an expression that increments or decrements the loop control variable. The loop then iterates, first evaluating the conditional expression, then executing the body of the loop, and then executing the iteration expression with each pass. This process repeats until the controlling expression is false.

Here is a version of the “tick” program that uses a **for** loop:

```
// Demonstrate the for loop.  
class ForTick {  
    public static void main(String args[]) {  
        int n;  
  
        for(n=10; n>0; n--)  
            System.out.println("tick " + n);  
    }  
}
```

Declaring Loop Control Variables Inside the for Loop

Often the variable that controls a **for** loop is only needed for the purposes of the loop and is not used elsewhere. When this is the case, it is possible to declare the variable inside the initialization portion of the **for**. For example, here is the preceding program recoded so that the loop control variable **n** is declared as an **int** inside the **for**:

```
// Declare a loop control variable inside the for.  
class ForTick {  
    public static void main(String args[]) {  
  
        // here, n is declared inside of the for loop  
        for(int n=10; n>0; n--)  
            System.out.println("tick " + n);  
    }  
}
```

When you declare a variable inside a **for** loop, there is one important point to remember: the scope of that variable ends when the **for** statement does. (That is, the scope of the variable is limited to the **for** loop.) Outside the **for** loop, the variable will cease to exist. If you need

to use the loop control variable elsewhere in your program, you will not be able to declare it inside the **for** loop.

When the loop control variable will not be needed elsewhere, most Java programmers declare it inside the **for**. For example, here is a simple program that tests for prime numbers. Notice that the loop control variable, **i**, is declared inside the **for** since it is not needed elsewhere.

```
// Test for primes.
class FindPrime {
    public static void main(String args[]) {
        int num;
        boolean isPrime = true;

        num = 14;
        for(int i=2; i <= num/i; i++) {
            if((num % i) == 0) {
                isPrime = false;
                break;
            }
        }
        if(isPrime) System.out.println("Prime");
        else System.out.println("Not Prime");
    }
}
```

Using the Comma

There will be times when you will want to include more than one statement in the initialization and iteration portions of the **for** loop. For example, consider the loop in the following program:

```
class Sample {
    public static void main(String args[]) {
        int a, b;

        b = 4;
        for(a=1; a<b; a++) {
            System.out.println("a = " + a);
            System.out.println("b = " + b);
            b--;
        }
    }
}
```

As you can see, the loop is controlled by the interaction of two variables. Since the loop is governed by two variables, it would be useful if both could be included in the **for** statement, itself, instead of **b** being handled manually. Fortunately, Java provides a way to accomplish this. To allow two or more variables to control a **for** loop, Java permits you to include multiple statements in both the initialization and iteration portions of the **for**. Each statement is separated from the next by a comma.

Using the comma, the preceding **for** loop can be more efficiently coded as shown here:

```
// Using the comma.
class Comma {
```

```

public static void main(String args[]) {
    int a, b;

    for(a=1, b=4; a<b; a++, b--) {
        System.out.println("a = " + a);
        System.out.println("b = " + b);
    }
}

```

In this example, the initialization portion sets the values of both **a** and **b**. The two comma-separated statements in the iteration portion are executed each time the loop repeats. The program generates the following output:

```

a = 1
b = 4
a = 2
b = 3

```

NOTE *If you are familiar with C/C++, then you know that in those languages the comma is an operator that can be used in any valid expression. However, this is not the case with Java. In Java, the comma is a separator.*

Some for Loop Variations

The **for** loop supports a number of variations that increase its power and applicability. The reason it is so flexible is that its three parts—the initialization, the conditional test, and the iteration—do not need to be used for only those purposes. In fact, the three sections of the **for** can be used for any purpose you desire. Let's look at some examples.

One of the most common variations involves the conditional expression. Specifically, this expression does not need to test the loop control variable against some target value. In fact, the condition controlling the **for** can be any Boolean expression. For example, consider the following fragment:

```

boolean done = false;

for(int i=1; !done; i++) {
    // ...
    if(interrupted()) done = true;
}

```

In this example, the **for** loop continues to run until the **boolean** variable **done** is set to **true**. It does not test the value of **i**.

Here is another interesting **for** loop variation. Either the initialization or the iteration expression or both may be absent, as in this next program:

```

// Parts of the for loop can be empty.
class ForVar {
    public static void main(String args[]) {
        int i;

```

```

boolean done = false;

i = 0;
for( ; !done; ) {
    System.out.println("i is " + i);
    if(i == 10) done = true;
    i++;
}
}
}

```

Here, the initialization and iteration expressions have been moved out of the **for**. Thus, parts of the **for** are empty. While this is of no value in this simple example—indeed, it would be considered quite poor style—there can be times when this type of approach makes sense. For example, if the initial condition is set through a complex expression elsewhere in the program or if the loop control variable changes in a nonsequential manner determined by actions that occur within the body of the loop, it may be appropriate to leave these parts of the **for** empty.

Here is one more **for** loop variation. You can intentionally create an infinite loop (a loop that never terminates) if you leave all three parts of the **for** empty. For example:

```

for( ; ; ) {
    // ...
}

```

This loop will run forever because there is no condition under which it will terminate. Although there are some programs, such as operating system command processors, that require an infinite loop, most “infinite loops” are really just loops with special termination requirements. As you will soon see, there is a way to terminate a loop— even an infinite loop like the one shown—that does not make use of the normal loop conditional expression.

The For-Each Version of the for Loop

Beginning with JDK 5, a second form of **for** was defined that implements a “for-each” style loop. As you may know, contemporary language theory has embraced the for-each concept, and it is quickly becoming a standard feature that programmers have come to expect. A for-each style loop is designed to cycle through a collection of objects, such as an array, in strictly sequential fashion, from start to finish. Unlike some languages, such as C#, that implement a for-each loop by using the keyword **foreach**, Java adds the for-each capability by enhancing the **for** statement. The advantage of this approach is that no new keyword is required, and no preexisting code is broken. The for-each style of **for** is also referred to as the *enhanced for* loop.

The general form of the for-each version of the **for** is shown here:

```

for(type itr-var : collection) statement-block

```

Here, *type* specifies the type and *itr-var* specifies the name of an *iteration variable* that will receive the elements from a collection, one at a time, from beginning to end. The collection being cycled through is specified by *collection*. There are various types of collections that can be used with the **for**, but the only type used in this chapter is the array. (Other types of collections that can be used with the **for**, such as those defined by the Collections Framework,

are discussed later in this book.) With each iteration of the loop, the next element in the collection is retrieved and stored in *itr-var*. The loop repeats until all elements in the collection have been obtained.

Because the iteration variable receives values from the collection, *type* must be the same as (or compatible with) the elements stored in the collection. Thus, when iterating over arrays, *type* must be compatible with the base type of the array.

To understand the motivation behind a for-each style loop, consider the type of **for** loop that it is designed to replace. The following fragment uses a traditional **for** loop to compute the sum of the values in an array:

```
int nums[] = { 1, 2, 3, 4, 5, 6, 7, 8, 9, 10 };
int sum = 0;

for(int i=0; i < 10; i++) sum += nums[i];
```

To compute the sum, each element in **nums** is read, in order, from start to finish. Thus, the entire array is read in strictly sequential order. This is accomplished by manually indexing the **nums** array by **i**, the loop control variable.

The for-each style **for** automates the preceding loop. Specifically, it eliminates the need to establish a loop counter, specify a starting and ending value, and manually index the array. Instead, it automatically cycles through the entire array, obtaining one element at a time, in sequence, from beginning to end. For example, here is the preceding fragment rewritten using a for-each version of the **for**:

```
int nums[] = { 1, 2, 3, 4, 5, 6, 7, 8, 9, 10 };
int sum = 0;

for(int x: nums) sum += x;
```

With each pass through the loop, **x** is automatically given a value equal to the next element in **nums**. Thus, on the first iteration, **x** contains 1; on the second iteration, **x** contains 2; and so on. Not only is the syntax streamlined, but it also prevents boundary errors.

Here is an entire program that demonstrates the for-each version of the **for** just described:

```
// Use a for-each style for loop.
class ForEach {
    public static void main(String args[]) {
        int nums[] = { 1, 2, 3, 4, 5, 6, 7, 8, 9, 10 };
        int sum = 0;

        // use for-each style for to display and sum the values
        for(int x : nums) {
            System.out.println("Value is: " + x);
            sum += x;
        }

        System.out.println("Summation: " + sum);
    }
}
```

The output from the program is shown here.

```
Value is: 1
Value is: 2
Value is: 3
Value is: 4
Value is: 5
Value is: 6
Value is: 7
Value is: 8
Value is: 9
Value is: 10
Summation: 55
```

As this output shows, the for-each style **for** automatically cycles through an array in sequence from the lowest index to the highest.

Although the for-each **for** loop iterates until all elements in an array have been examined, it is possible to terminate the loop early by using a **break** statement. For example, this program sums only the first five elements of **nums**:

```
// Use break with a for-each style for.
class ForEach2 {
    public static void main(String args[]) {
        int sum = 0;
        int nums[] = { 1, 2, 3, 4, 5, 6, 7, 8, 9, 10 };

        // use for to display and sum the values
        for(int x : nums) {
            System.out.println("Value is: " + x);
            sum += x;
            if(x == 5) break; // stop the loop when 5 is obtained
        }
        System.out.println("Summation of first 5 elements: " + sum);
    }
}
```

This is the output produced:

```
Value is: 1
Value is: 2
Value is: 3
Value is: 4
Value is: 5
Summation of first 5 elements: 15
```

As is evident, the **for** loop stops after the fifth element has been obtained. The **break** statement can also be used with Java's other loops, and it is discussed in detail later in this chapter.

There is one important point to understand about the for-each style loop. Its iteration variable is "read-only" as it relates to the underlying array. An assignment to the iteration variable has no effect on the underlying array. In other words, you can't change

the contents of the array by assigning the iteration variable a new value. For example, consider this program:

```
// The for-each loop is essentially read-only.
class NoChange {
    public static void main(String args[]) {
        int nums[] = { 1, 2, 3, 4, 5, 6, 7, 8, 9, 10 };

        for(int x : nums) {
            System.out.print(x + " ");
            x = x * 10; // no effect on nums
        }

        System.out.println();

        for(int x : nums)
            System.out.print(x + " ");

        System.out.println();
    }
}
```

The first **for** loop increases the value of the iteration variable by a factor of 10. However, this assignment has no effect on the underlying array **nums**, as the second **for** loop illustrates. The output, shown here, proves this point:

```
1 2 3 4 5 6 7 8 9 10
1 2 3 4 5 6 7 8 9 10
```

Iterating Over Multidimensional Arrays

The enhanced version of the **for** also works on multidimensional arrays. Remember, however, that in Java, multidimensional arrays consist of *arrays of arrays*. (For example, a two-dimensional array is an array of one-dimensional arrays.) This is important when iterating over a multidimensional array, because each iteration obtains the *next array*, not an individual element. Furthermore, the iteration variable in the **for** loop must be compatible with the type of array being obtained. For example, in the case of a two-dimensional array, the iteration variable must be a reference to a one-dimensional array. In general, when using the for-each **for** to iterate over an array of N dimensions, the objects obtained will be arrays of $N-1$ dimensions. To understand the implications of this, consider the following program. It uses nested **for** loops to obtain the elements of a two-dimensional array in row-order, from first to last.

```
// Use for-each style for on a two-dimensional array.
class ForEach3 {
    public static void main(String args[]) {
        int sum = 0;
        int nums[][] = new int[3][5];

        // give nums some values
        for(int i = 0; i < 3; i++)
```

```

        for(int j=0; j < 5; j++)
            nums[i][j] = (i+1)*(j+1);

// use for-each for to display and sum the values
for(int x[] : nums) {
    for(int y : x) {
        System.out.println("Value is: " + y);
        sum += y;
    }
}
System.out.println("Summation: " + sum);
}
}

```

The output from this program is shown here:

```

Value is: 1
Value is: 2
Value is: 3
Value is: 4
Value is: 5
Value is: 2
Value is: 4
Value is: 6
Value is: 8
Value is: 10
Value is: 3
Value is: 6
Value is: 9
Value is: 12
Value is: 15
Summation: 90

```

In the program, pay special attention to this line:

```
for(int x[] : nums) {
```

Notice how `x` is declared. It is a reference to a one-dimensional array of integers. This is necessary because each iteration of the `for` obtains the next *array* in `nums`, beginning with the array specified by `nums[0]`. The inner `for` loop then cycles through each of these arrays, displaying the values of each element.

Applying the Enhanced for

Since the for-each style `for` can only cycle through an array sequentially, from start to finish, you might think that its use is limited, but this is not true. A large number of algorithms require exactly this mechanism. One of the most common is searching. For example, the following program uses a `for` loop to search an unsorted array for a value. It stops if the value is found.

```
// Search an array using for-each style for.
class Search {
    public static void main(String args[]) {
        int nums[] = { 6, 8, 3, 7, 5, 6, 1, 4 };
        int val = 5;
        boolean found = false;

        // use for-each style for to search nums for val
        for(int x : nums) {
            if(x == val) {
                found = true;
                break;
            }
        }

        if(found)
            System.out.println("Value found!");
    }
}
```

The for-each style **for** is an excellent choice in this application because searching an unsorted array involves examining each element in sequence. (Of course, if the array were sorted, a binary search could be used, which would require a different style loop.) Other types of applications that benefit from for-each style loops include computing an average, finding the minimum or maximum of a set, looking for duplicates, and so on.

Although we have been using arrays in the examples in this chapter, the for-each style **for** is especially useful when operating on collections defined by the Collections Framework, which is described in Part II. More generally, the **for** can cycle through the elements of any collection of objects, as long as that collection satisfies a certain set of constraints, which are described in Chapter 17.

Nested Loops

Like all other programming languages, Java allows loops to be nested. That is, one loop may be inside another. For example, here is a program that nests **for** loops:

```
// Loops may be nested.
class Nested {
    public static void main(String args[]) {
        int i, j;

        for(i=0; i<10; i++) {
            for(j=i; j<10; j++)
                System.out.print(".");
            System.out.println();
        }
    }
}
```


This program generates the following output:

```
i: 0
i: 1
i: 2
i: 3
i: 4
i: 5
i: 6
i: 7
i: 8
i: 9
Loop complete.
```

As you can see, although the **for** loop is designed to run from 0 to 99, the **break** statement causes it to terminate early, when **i** equals 10.

The **break** statement can be used with any of Java's loops, including intentionally infinite loops. For example, here is the preceding program coded by use of a **while** loop. The output from this program is the same as just shown.

```
// Using break to exit a while loop.
class BreakLoop2 {
    public static void main(String args[]) {
        int i = 0;

        while(i < 100) {
            if(i == 10) break; // terminate loop if i is 10
            System.out.println("i: " + i);
            i++;
        }
        System.out.println("Loop complete.");
    }
}
```

When used inside a set of nested loops, the **break** statement will only break out of the innermost loop. For example:

```
// Using break with nested loops.
class BreakLoop3 {
    public static void main(String args[]) {
        for(int i=0; i<3; i++) {
            System.out.print("Pass " + i + ": ");
            for(int j=0; j<100; j++) {
                if(j == 10) break; // terminate loop if j is 10
                System.out.print(j + " ");
            }
            System.out.println();
        }
        System.out.println("Loops complete.");
    }
}
```

This program generates the following output:

```
Pass 0: 0 1 2 3 4 5 6 7 8 9
Pass 1: 0 1 2 3 4 5 6 7 8 9
Pass 2: 0 1 2 3 4 5 6 7 8 9
Loops complete.
```

As you can see, the **break** statement in the inner loop only causes termination of that loop. The outer loop is unaffected.

Here are two other points to remember about **break**. First, more than one **break** statement may appear in a loop. However, be careful. Too many **break** statements have the tendency to destructure your code. Second, the **break** that terminates a **switch** statement affects only that **switch** statement and not any enclosing loops.

REMEMBER *break was not designed to provide the normal means by which a loop is terminated. The loop's conditional expression serves this purpose. The break statement should be used to cancel a loop only when some sort of special situation occurs.*

Using break as a Form of Goto

In addition to its uses with the **switch** statement and loops, the **break** statement can also be employed by itself to provide a “civilized” form of the goto statement. Java does not have a goto statement because it provides a way to branch in an arbitrary and unstructured manner. This usually makes goto-ridden code hard to understand and hard to maintain. It also prohibits certain compiler optimizations. There are, however, a few places where the goto is a valuable and legitimate construct for flow control. For example, the goto can be useful when you are exiting from a deeply nested set of loops. To handle such situations, Java defines an expanded form of the **break** statement. By using this form of **break**, you can, for example, break out of one or more blocks of code. These blocks need not be part of a loop or a **switch**. They can be any block. Further, you can specify precisely where execution will resume, because this form of **break** works with a label. As you will see, **break** gives you the benefits of a goto without its problems.

The general form of the labeled **break** statement is shown here:

```
break label;
```

Most often, *label* is the name of a label that identifies a block of code. This can be a stand-alone block of code but it can also be a block that is the target of another statement. When this form of **break** executes, control is transferred out of the named block. The labeled block must enclose the **break** statement, but it does not need to be the immediately enclosing block. This means, for example, that you can use a labeled **break** statement to exit from a set of nested blocks. But you cannot use **break** to transfer control out of a block that does not enclose the **break** statement.

To name a block, put a label at the start of it. A *label* is any valid Java identifier followed by a colon. Once you have labeled a block, you can then use this label as the target of a **break** statement. Doing so causes execution to resume at the *end* of the labeled block. For example, the following program shows three nested blocks, each with its own label. The **break** statement causes execution to jump forward, past the end of the block labeled **second**, skipping the two **println()** statements.

```
// Using break as a civilized form of goto.
class Break {
    public static void main(String args[]) {
        boolean t = true;

        first: {
            second: {
                third: {
                    System.out.println("Before the break.");
                    if(t) break second; // break out of second block
                    System.out.println("This won't execute");
                }
                System.out.println("This won't execute");
            }
            System.out.println("This is after second block.");
        }
    }
}
```

Running this program generates the following output:

```
Before the break.
This is after second block.
```

One of the most common uses for a labeled **break** statement is to exit from nested loops. For example, in the following program, the outer loop executes only once:

```
// Using break to exit from nested loops
class BreakLoop4 {
    public static void main(String args[]) {
        outer: for(int i=0; i<3; i++) {
            System.out.print("Pass " + i + ": ");
            for(int j=0; j<100; j++) {
                if(j == 10) break outer; // exit both loops
                System.out.print(j + " ");
            }
            System.out.println("This will not print");
        }
        System.out.println("Loops complete.");
    }
}
```

This program generates the following output:

```
Pass 0: 0 1 2 3 4 5 6 7 8 9 Loops complete.
```

As you can see, when the inner loop breaks to the outer loop, both loops have been terminated. Notice that this example labels the **for** statement, which has a block of code as its target.

Keep in mind that you cannot break to any label which is not defined for an enclosing block. For example, the following program is invalid and will not compile:

```
// This program contains an error.
class BreakErr {
```

```

public static void main(String args[]) {

    one: for(int i=0; i<3; i++) {
        System.out.print("Pass " + i + ": ");
    }

    for(int j=0; j<100; j++) {
        if(j == 10) break one; // WRONG
        System.out.print(j + " ");
    }
}

```

Since the loop labeled **one** does not enclose the **break** statement, it is not possible to transfer control out of that block.

Using continue

Sometimes it is useful to force an early iteration of a loop. That is, you might want to continue running the loop but stop processing the remainder of the code in its body for this particular iteration. This is, in effect, a goto just past the body of the loop, to the loop's end. The **continue** statement performs such an action. In **while** and **do-while** loops, a **continue** statement causes control to be transferred directly to the conditional expression that controls the loop. In a **for** loop, control goes first to the iteration portion of the **for** statement and then to the conditional expression. For all three loops, any intermediate code is bypassed.

Here is an example program that uses **continue** to cause two numbers to be printed on each line:

```

// Demonstrate continue.
class Continue {
    public static void main(String args[]) {
        for(int i=0; i<10; i++) {
            System.out.print(i + " ");
            if (i%2 == 0) continue;
            System.out.println("");
        }
    }
}

```

This code uses the **%** operator to check if **i** is even. If it is, the loop continues without printing a newline. Here is the output from this program:

```

0 1
2 3
4 5
6 7
8 9

```

As with the **break** statement, **continue** may specify a label to describe which enclosing loop to continue. Here is an example program that uses **continue** to print a triangular multiplication table for 0 through 9.


```
// Using continue with a label.
class ContinueLabel {
    public static void main(String args[]) {
outer: for (int i=0; i<10; i++) {
        for(int j=0; j<10; j++) {
            if(j > i) {
                System.out.println();
                continue outer;
            }
            System.out.print(" " + (i * j));
        }
        System.out.println();
    }
}
```

The **continue** statement in this example terminates the loop counting **j** and continues with the next iteration of the loop counting **i**. Here is the output of this program:

```
0
0 1
0 2 4
0 3 6 9
0 4 8 12 16
0 5 10 15 20 25
0 6 12 18 24 30 36
0 7 14 21 28 35 42 49
0 8 16 24 32 40 48 56 64
0 9 18 27 36 45 54 63 72 81
```

Good uses of **continue** are rare. One reason is that Java provides a rich set of loop statements which fit most applications. However, for those special circumstances in which early iteration is needed, the **continue** statement provides a structured way to accomplish it.

return

The last control statement is **return**. The **return** statement is used to explicitly return from a method. That is, it causes program control to transfer back to the caller of the method. As such, it is categorized as a jump statement. Although a full discussion of **return** must wait until methods are discussed in Chapter 6, a brief look at **return** is presented here.

At any time in a method the **return** statement can be used to cause execution to branch back to the caller of the method. Thus, the **return** statement immediately terminates the method in which it is executed. The following example illustrates this point. Here, **return** causes execution to return to the Java run-time system, since it is the run-time system that calls **main()**.

```
// Demonstrate return.
class Return {
    public static void main(String args[]) {
        boolean t = true;

```

```
        System.out.println("Before the return.");  
        if(t) return; // return to caller  
        System.out.println("This won't execute.");  
    }  
}
```

The output from this program is shown here:

```
Before the return.
```

As you can see, the final **println()** statement is not executed. As soon as **return** is executed, control passes back to the caller.

One last point: In the preceding program, the **if(t)** statement is necessary. Without it, the Java compiler would flag an “unreachable code” error because the compiler would know that the last **println()** statement would never be executed. To prevent this error, the **if** statement is used here to trick the compiler for the sake of this demonstration.